

Structural studies at the Salt-Cocrystal Interface

Prof. Chris Frampton

Wolfson Centre for Materials Processing

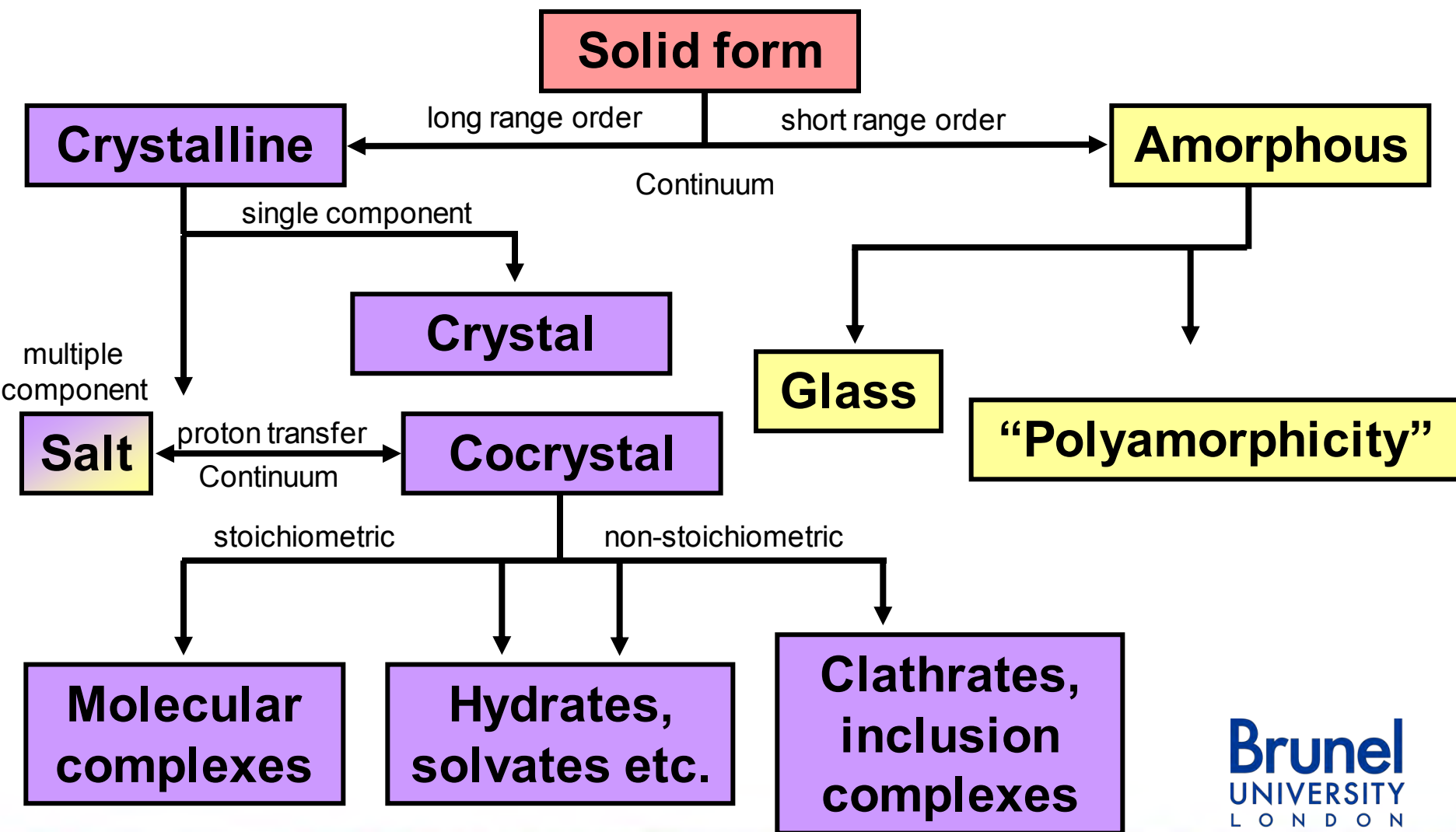
Brunel University



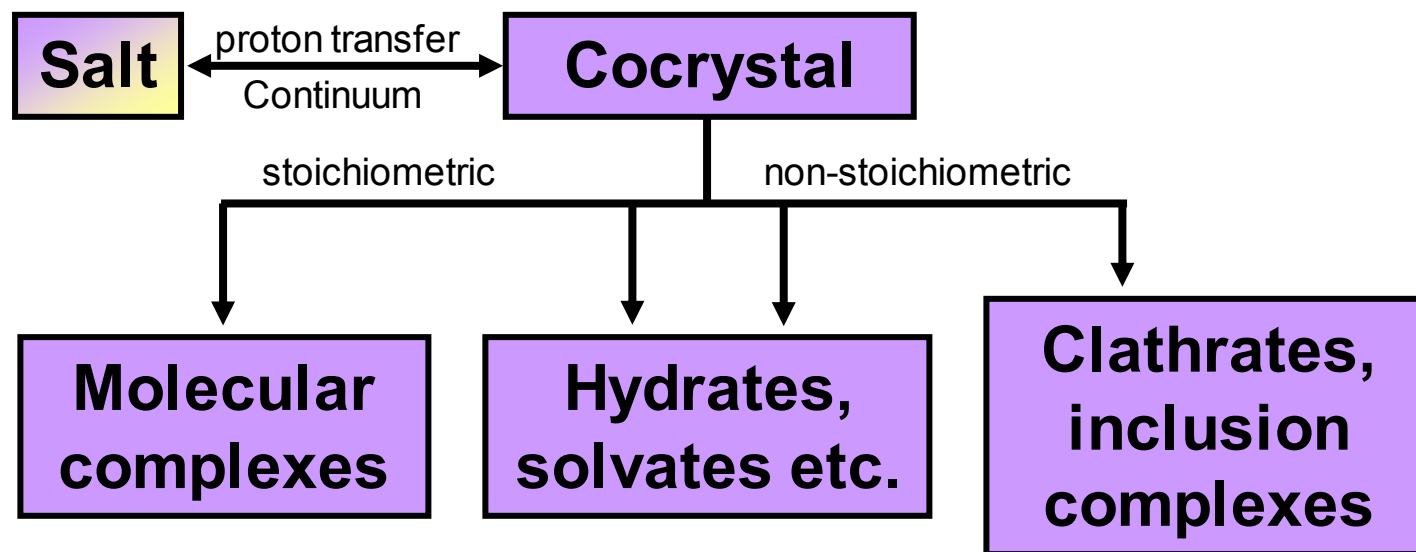
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Brunel
UNIVERSITY
L O N D O N

Organic Solid Form



Organic Solid Form



Adopted cocrystal definition

A + B



A-B or A B

Literature definitions of a cocrystal:

• “a crystal containing two or more components together” – *Dunitz, J. D.*

• “compounds constructed from neutral molecular species...” “..that are solid at ambient conditions...” “..present in definite stoichiometric amounts..”

Aakeröy, C. B., et al.

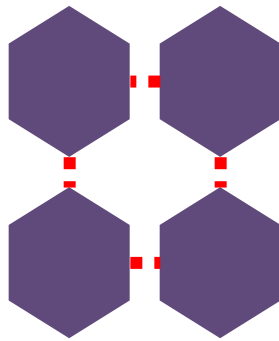
• Pharmaceutical cocrystal – A multiple component crystal in at least one component is molecular and a solid at room temperature (the cocrystal former) and forms a supramolecular synthon with a molecular or ionic API – *Zaworotko, M. J.,*

• “Placing two molecules in a crystalline lattice *via* a clearly distinguishable synthon” – *Jones, W. J., et al.*

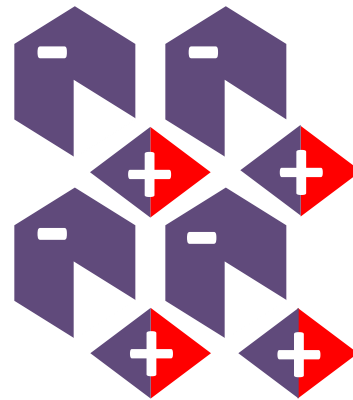
What is a Pharmaceutical Cocrystal?

“A drug and approved excipient crystallised together”

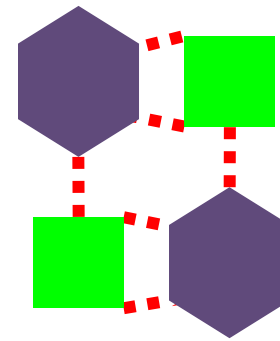
Pure Drug



Salt



Cocrystal



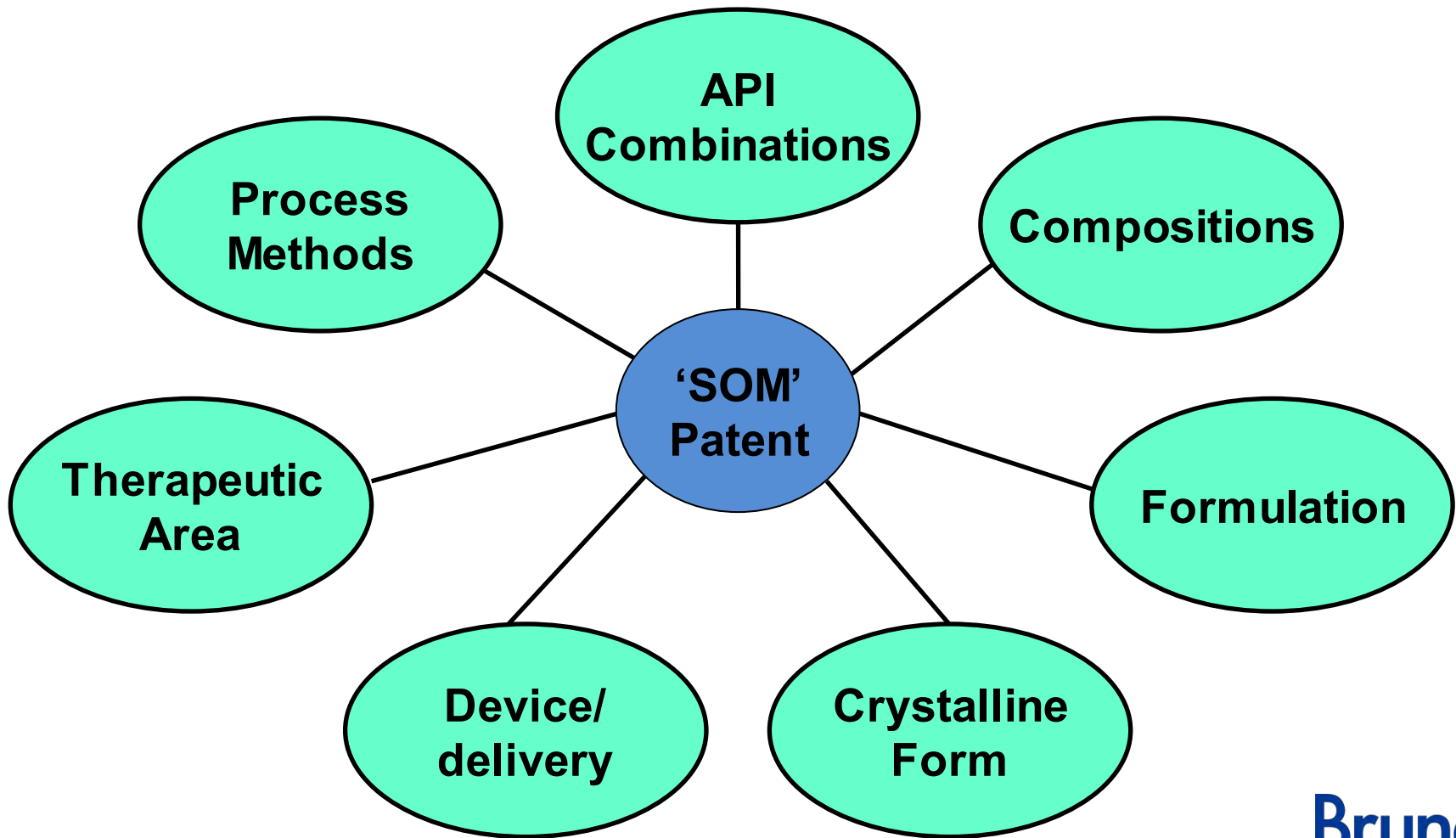
New IP	✗	✗	✓
Advantageous Properties	✗	✓	✓
API Regulatory Sameness	✓	✗	✓

Strong validity of pharmaceutical cocrystal IP

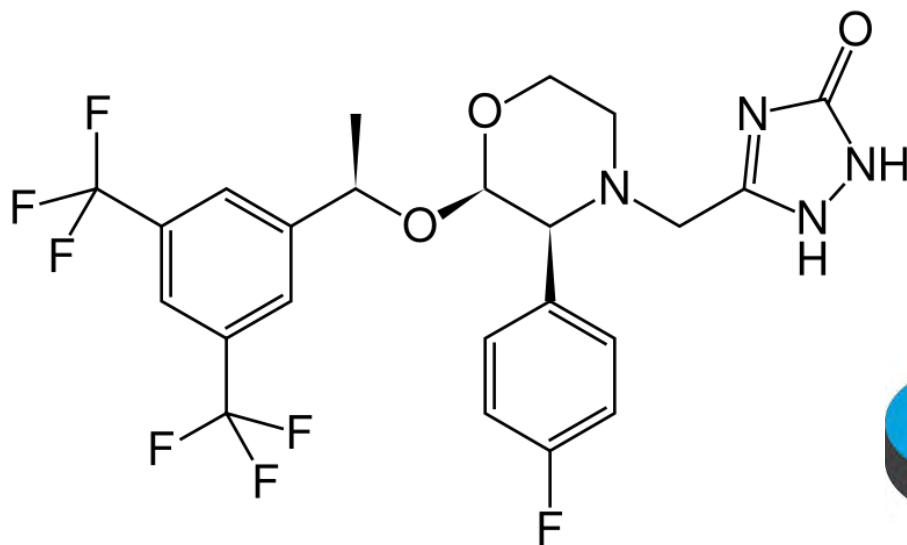
- **API cocrystals are eligible for new ‘Substance Of Matter’ patents**
 - Novelty + Utility + Non-obvious: ***Can’t predict their formation, properties or applications (Wouters 2011)***
- **Cocrystal patents have been granted globally (Almarsson 2012)**
 - US PTO >20 SOM patents granted since the first in 1999 (Eli Lilly)
 - EPO >10 SOM patents since 2003
 - Other PO’s following suit (e.g. Astellas US8097592, Vertex US8039475)
- **Opportunity to retrospectively secure IP for molecules of interest**
 - ‘API IP’ creation advantage vs technology platform IP
 - ***20 years SOM protection for every new API cocrystal generated***

****Trask: ‘An overview of pharmaceutical cocrystals as IP’ 2007***

Cocrystal claim strategy can mirror NCE

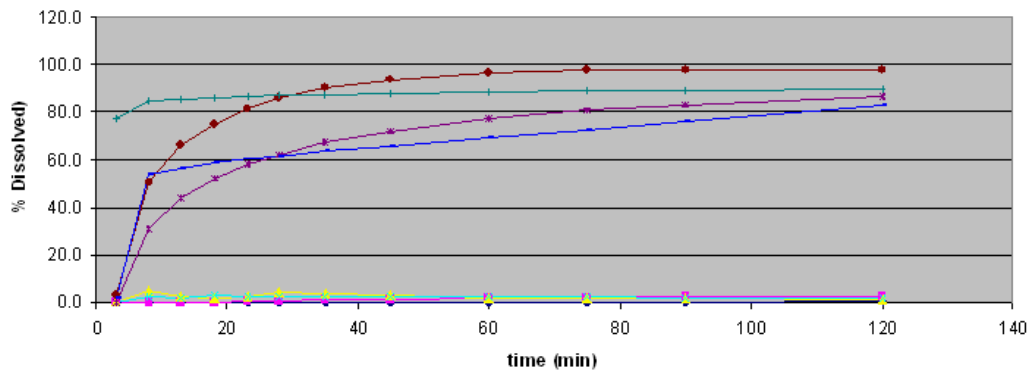
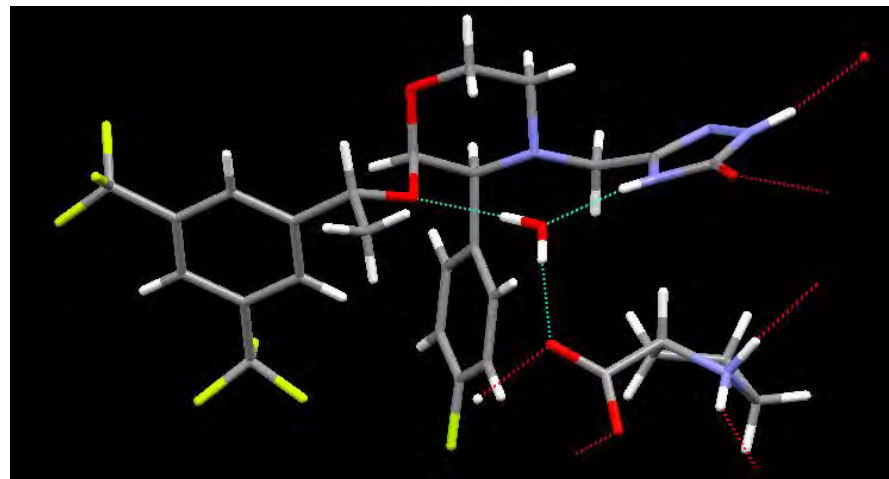
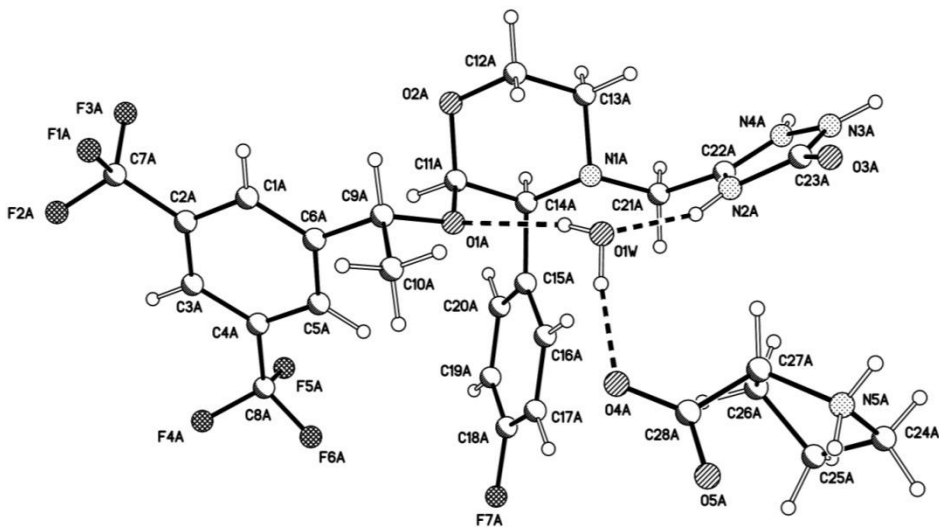


Example: Aprepitant, (Emend™)



- Poor dissolution profile requiring licensed nanotechnology to formulate
- Screened against large number of coformers
- One positive hit against *L*-Proline
- First isolated example mono-methanolate solvate
- Methanol subsequently exchanged for water as stable monohydrate

Aprepitant *L*-Proline monohydrate

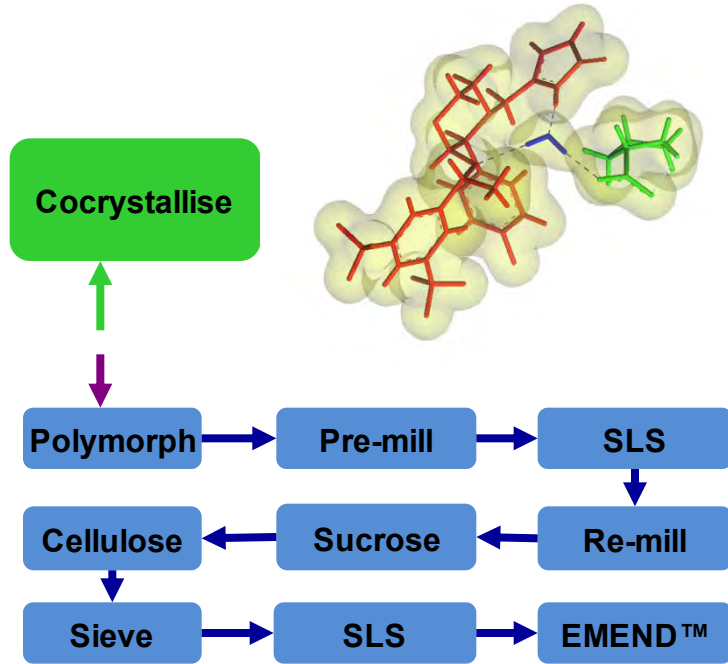


Aprepitant *L*-Proline Composition and Cocystal

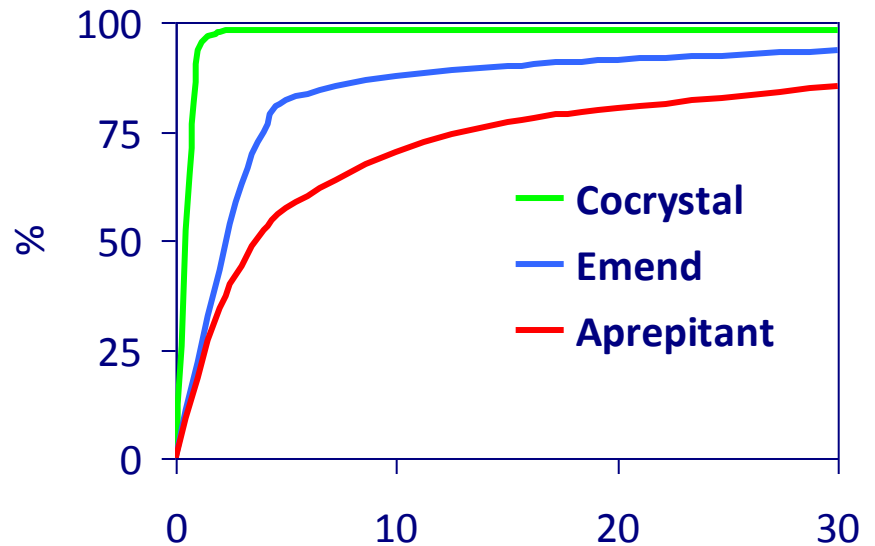
Christopher Frampton, Joanne Holland, Alan Chorlton and Daniel Gooding.

WO2012038937 29-03-2012

Cocrystal offers important product advantages

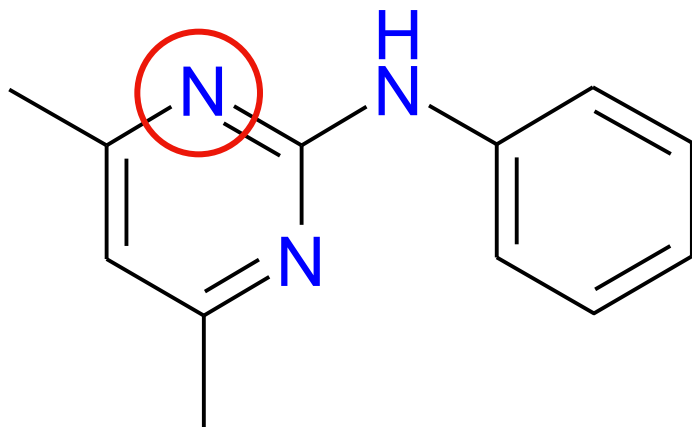
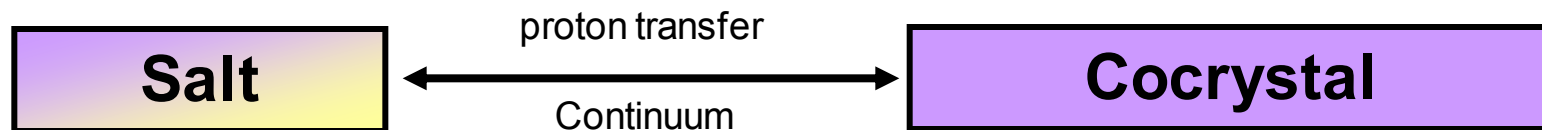


Dissolution



- **Aprepitant: Initial formulation failed Phase IIa due to poor absorption**
- **Emend™: 9-step dissolution enhancement gave consistent absorption**
- **Cocrystal: 1-step process gives differentiated cocrystal with potential**

Example Pyrimethanil



Weakly basic nitrogen

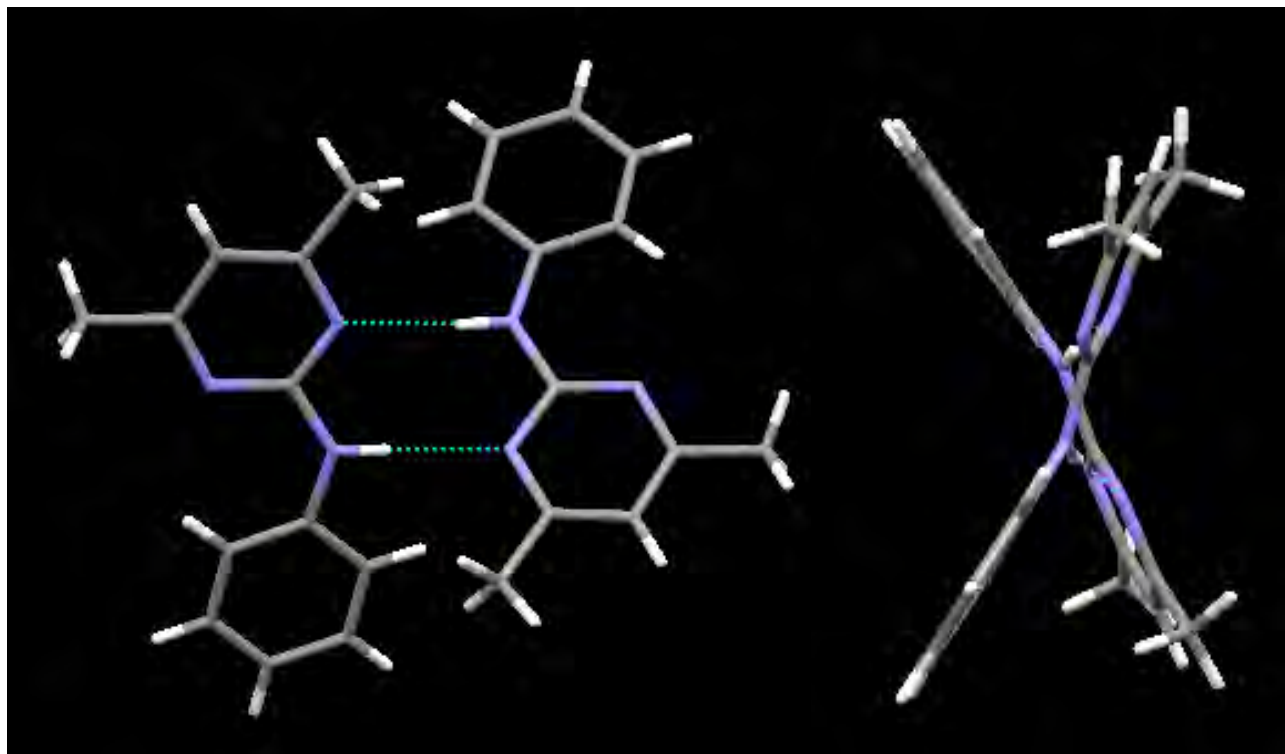
Measured pK_a 4.05

Pyrimethanil: Weakly basic agrochemical antifungal active

Structural motif includes weakly basic nitrogen

Forms two polymorphs, Forms I and II.

Pyrimethanil, Form I



$$a = 7.3947(6) \text{ \AA}$$

$$b = 11.6287(9) \text{ \AA}$$

$$c = 14.4750(13) \text{ \AA}$$

$$\alpha = 67.784(8)^\circ$$

$$\beta = 86.940(7)^\circ$$

$$\gamma = 71.684(7)^\circ$$

Triclinic

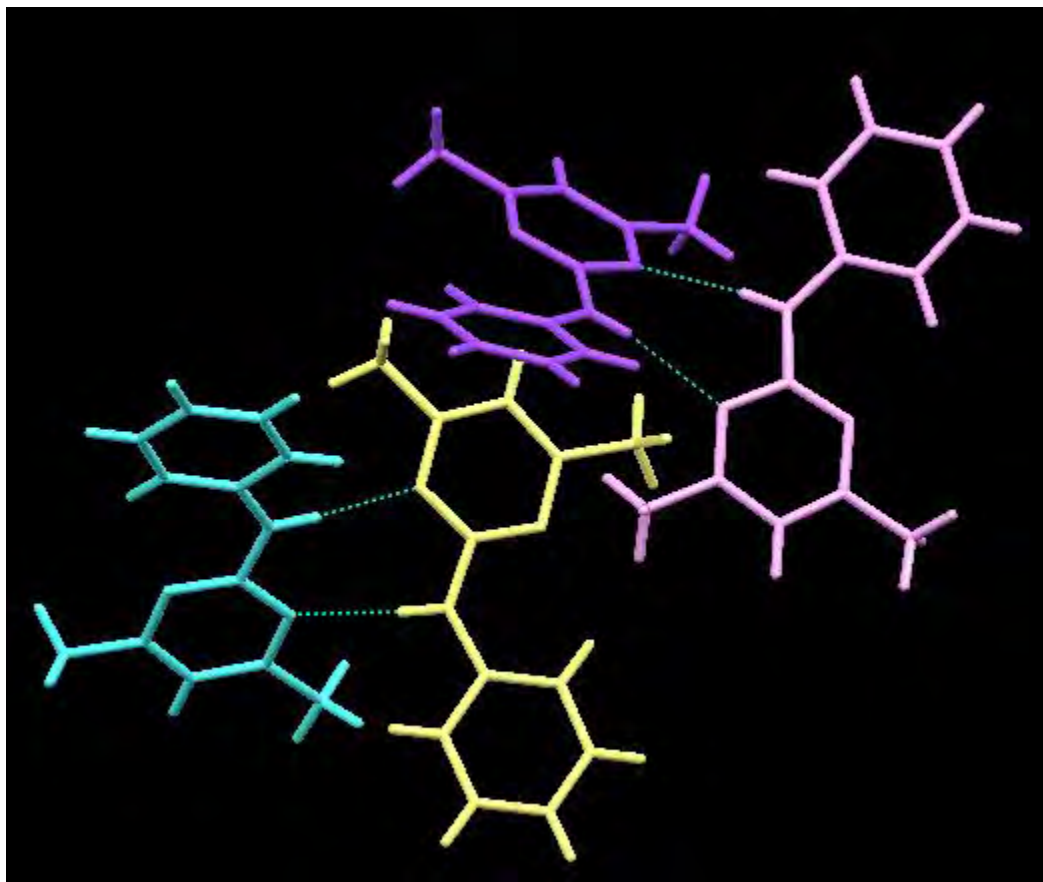
$P-1$,

$$R_1 = 5.05\%$$

$$Z' = 2, Z = 4$$

$$\rho = 1.210$$

Pyrimethanil, Form II



$$a = 10.5237(4) \text{ \AA}$$

$$b = 19.1569(6) \text{ \AA}$$

$$c = 22.0806(8) \text{ \AA}$$

$$\beta = 102.811(4)^\circ$$

Monoclinic

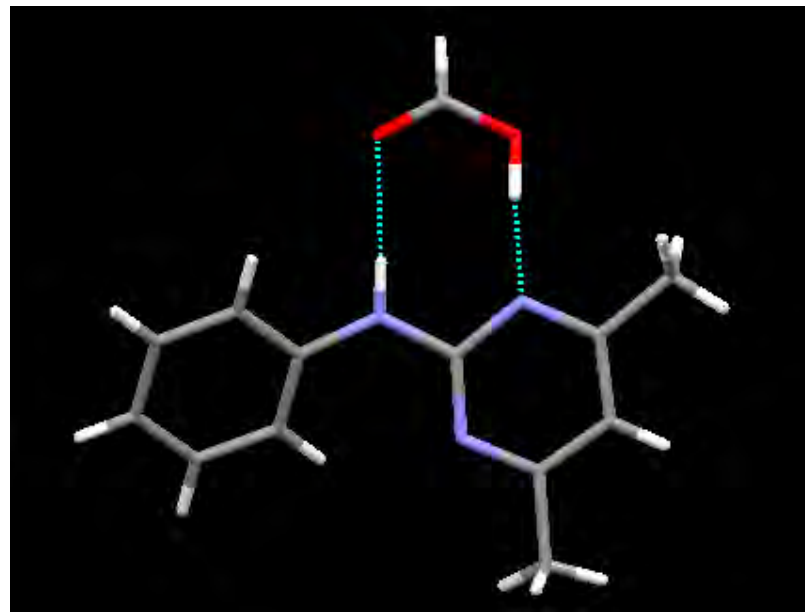
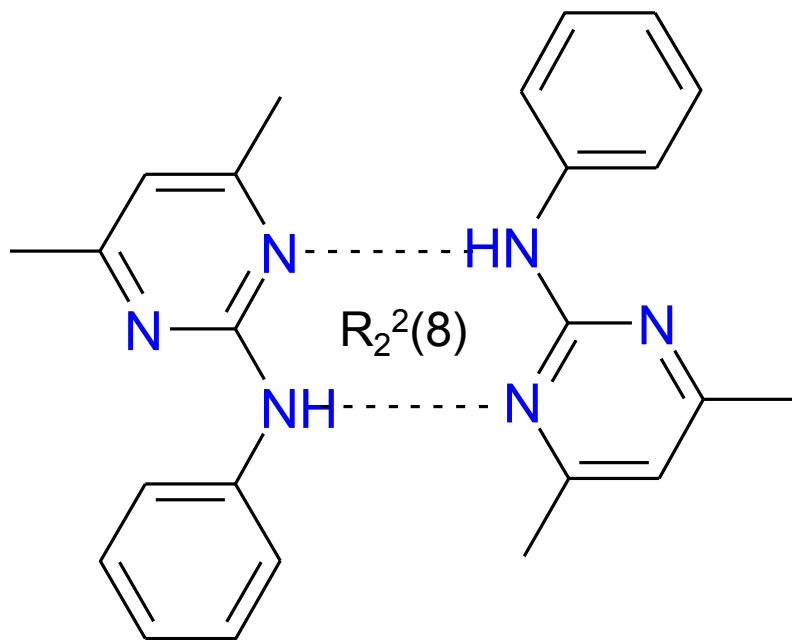
$P2_1/n$

$$R_1 = 4.95\%$$

$$Z' = 4, Z = 16$$

$$\rho = 1.220$$

Structural motif

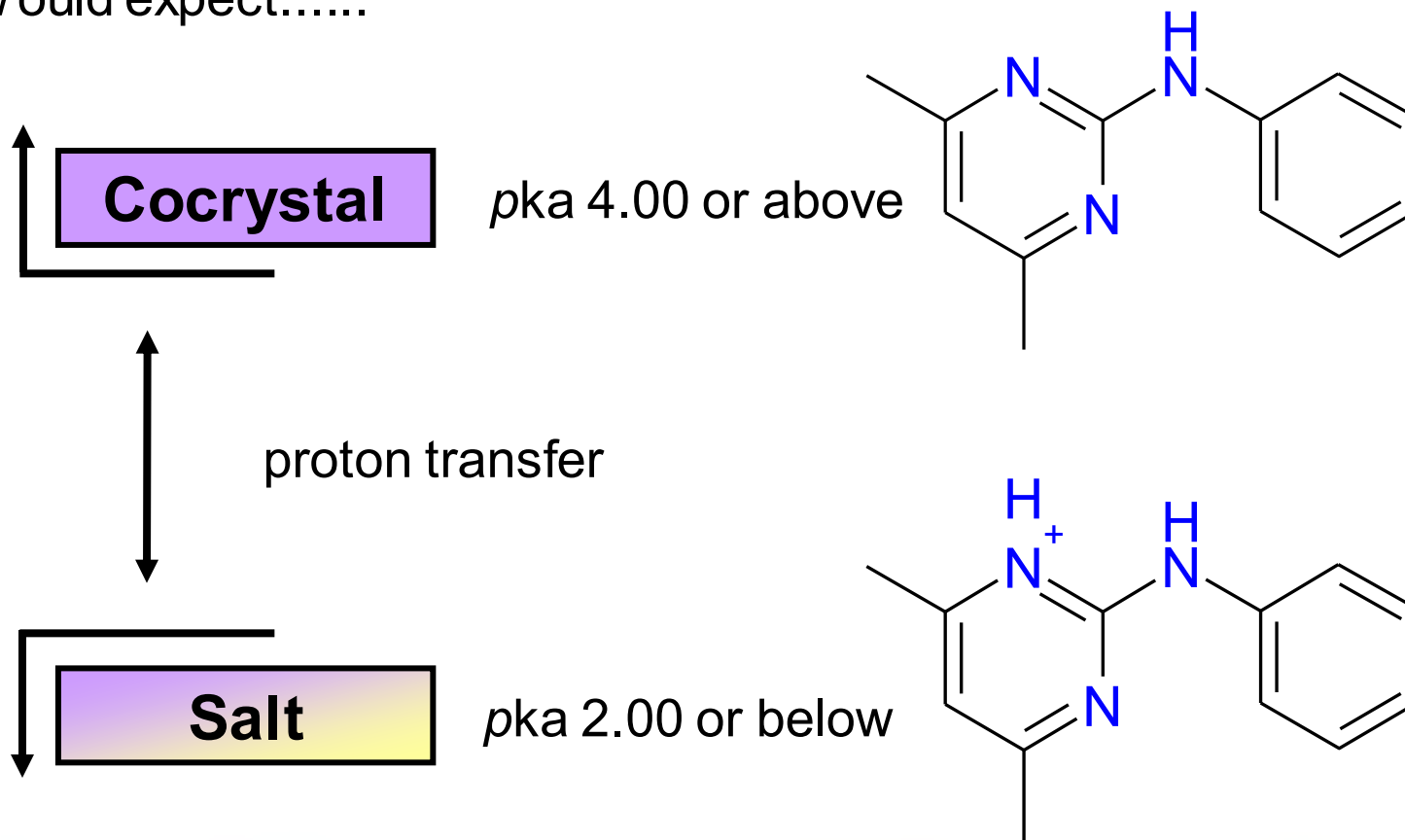


Both polymorphs form an $R_2^2(8)$ dimer structure and therefore carboxylic acids are a good starting place to for cocrystals

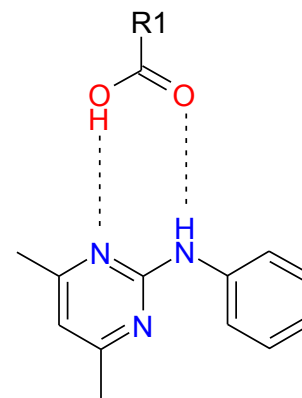
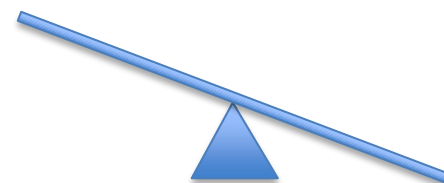
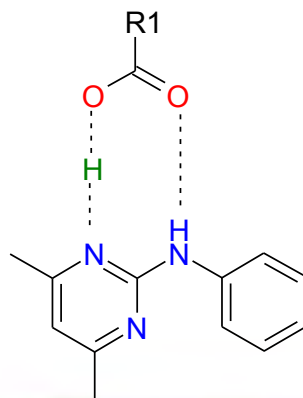
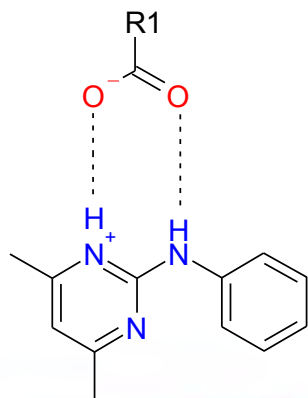
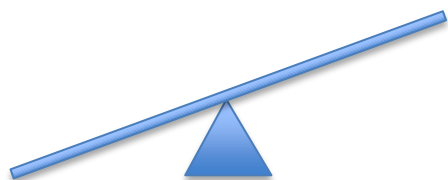
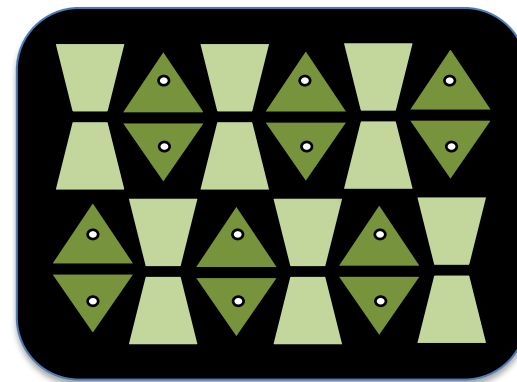
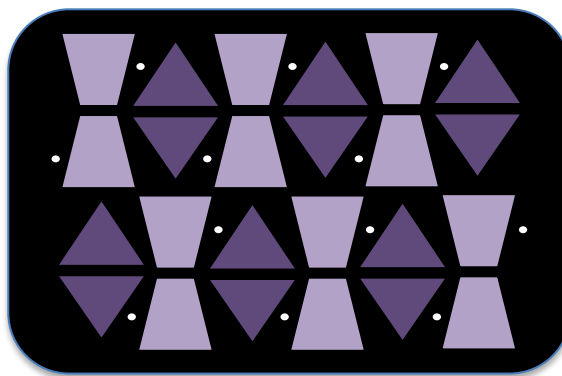
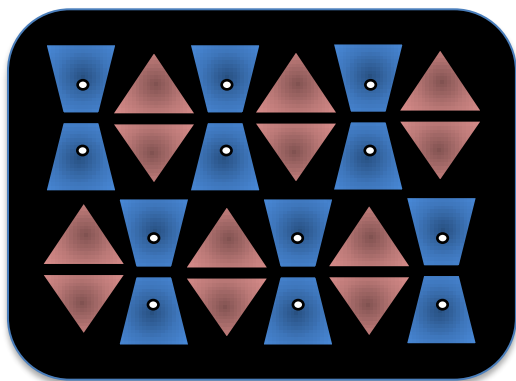
Control of species formation

Measured $pK_a = 4.05$

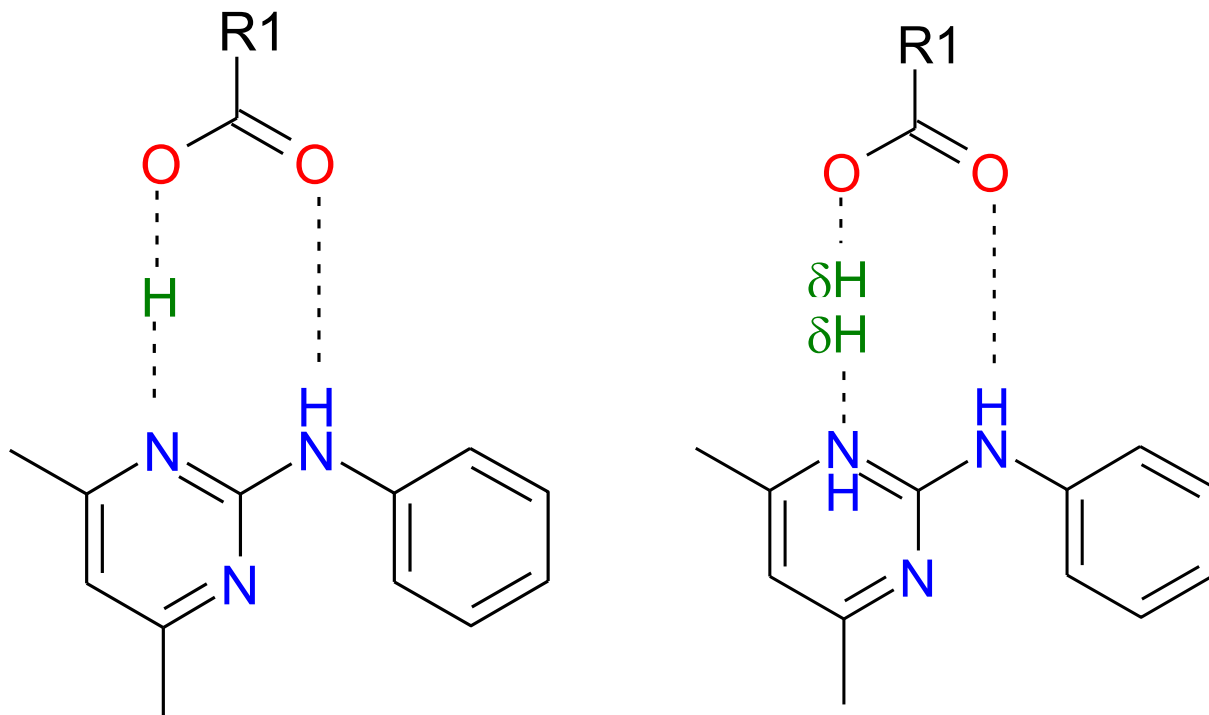
Would expect.....



Increasing pK_a



Possible structures: Dependant on pK_a of cofomer



Intermediate complexes, order and disorder models

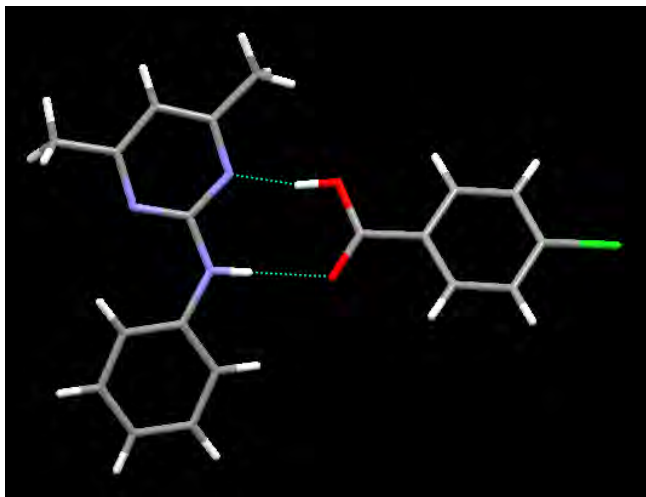
Comment	Cofomer	Ratio	Product	Solvent	pKa
P2 ₁ /n	Pyrimethanil, Form 1		Freebase	IPA	4.06
P-1	Pyrimethanil, Form 2		Freebase	IPA	4.06
	Oxalic acid	4:3	Salt	IPA	1.27
	Maleic acid	1:1	Salt	IPA	1.92
	2-Nitrobenzoic acid	1:1	Salt	IPA	2.16
Twin	2-Bromobenzoic acid	1:1	Salt	DMSO	2.85
	2-Chlorobenzoic acid	1:1	Cocrystal	IPA	2.97
P2 ₁ /c	Pyrazinecarboxylic acid, Form 1	1:1	Cocrystal	DMSO	2.91
P-1	Pyrazinecarboxylic acid, Form 2	1:1	Cocrystal	DMSO	2.91
	DL-Tartaric acid:IPA	2:1:0.5	Salt/Cocrystal	IPA	2.96/4.24
	2,5 dihydroxybenzoic acid:DMSO	1:1:1	Salt/Solvate	DMSO	3.01
	2-Fluorobenzoic acid	1:1	Cocrystal	IPA	3.27
	2,4 dihydroxybenzoic acid	1:1	Salt	IPA	3.32
Iso with R	R/S-Mandelic acid	1:1	Salt	EtOAc	3.41
Iso with R/S	R-Mandelic acid	1:1	Salt/Cocrystal	EtOAc	3.41
	4-(Methylsulfonyl)benzoic acid	1:1	Intermediate	IPA	3.42
	4-Nitrobenzoic acid	1:1	Intermediate	DMSO	3.44
P2 ₁ /n	3-Nitrobenzoic acid, Form 1	1:1	?	IPA	3.45
P-1	3-Nitrobenzoic acid, Form 2	1:1	Salt	IPA	3.45
	Thiazole-4-carboxylic acid	1:1	Salt	DMSO	3.57
	1-Naphthoic acid	1:1	Cocrystal	IPA	3.69
	Formic acid	1:1	Cocrystal	Neat	3.77
	3-Chlorobenzoic acid	1:1	Cocrystal	DMSO	3.83
	Diphenylacetic acid	1:1	Cocrystal	IPA	3.94
	4-Chlorobenzoic acid	1:1	Cocrystal	DMSO	3.97
	4-Iodobenzoic acid	1:1	Cocrystal	IPA	4.02
C2/c	Benzoic acid, Form 1	1:1	Cocrystal	IPA	4.20
P2 ₁ /n	Benzoic acid, Form 2	1:1	Cocrystal	DMSO	4.20
	Succinic acid	2:1	Cocrystal	DMSO	4.20
	6-Methoxy-2-naphthoic acid	1:1	Cocrystal	DMSO	4.30
	2-Methoxybenzoic acid	1:1	Cocrystal	DMSO	4.09
	Biphenyl carboxylic acid	1:1	Cocrystal	IPA	4.19
	Adipic acid	2:1	Cocrystal	IPA	4.43/5.41
	4-Methoxybenzoic acid	1:1	Cocrystal	DMSO	4.47
	4-Aminobenzoic acid	1:1	Cocrystal	IPA	4.65
	Sorbic acid	1:1	Cocrystal	IPA	4.75
	1-adamantane carboxylic acid	1:1	Cocrystal	IPA	4.80
	1,4 Benzene dicarboxylic acid	2:1	Cocrystal	DMSO	4.82
	2-Amino-4-fluorobenzoic acid	1:1	Cocrystal	IPA	4.88
	Cyclohexane carboxylic acid	1:1	Cocrystal	IPA	4.90
	Stearic acid	1:1	Cocrystal	IPA	4.90

Pyrimethanil vs 36 Carboxylic Acids, pKa range 1.27- 5.41

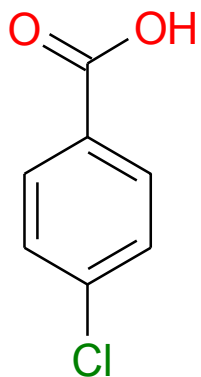
Interesting range 2.97 – 3.57
~ 0.6

Twin	2-Bromobenzoic acid	1:1	Salt	DMSO	2.85	
P ₂ /c	2-Chlorobenzoic acid	1:1	Cocrystal	IPA	2.97	
	Pyrazinecarboxylic acid, Form 1	1:1	Cocrystal	DMSO	2.91	
P-1	Pyrazinecarboxylic acid, Form 2	1:1	Cocrystal	DMSO	2.91	
Iso with R Iso with R/S	DL-Tartaric acid:IPA	2:1:0.5	Salt/Cocrystal	IPA	2.96/4.24	
	2,5 dihydroxybenzoic acid:DMSO	1:1:1	Salt/Solvate	DMSO	3.01	
	2-Fluorobenzoic acid	1:1	Cocrystal	IPA	3.27	
	2,4 dihydroxybenzoic acid	1:1	Salt	IPA	3.32	
	R/S-Mandelic acid	1:1	Salt	EtOAc	3.41	
	R-Mandelic acid	1:1	Salt/Cocrystal	EtOAc	3.41	
	4-(Methylsulfonyl)benzoic acid	1:1	Intermediate	IPA	3.42	
	4-Nitrobenzoic acid	1:1	Intermediate	DMSO	3.44	
	P ₂ /n	3-Nitrobenzoic acid, Form 1	1:1	?	IPA	3.45
	P-1	3-Nitrobenzoic acid, Form 2	1:1	Salt	IPA	3.45
	Thiazole-4-carboxylic acid	1:1	Salt	DMSO	3.57	
	1-Naphthoic acid	1:1	Cocrystal	IPA	3.69	

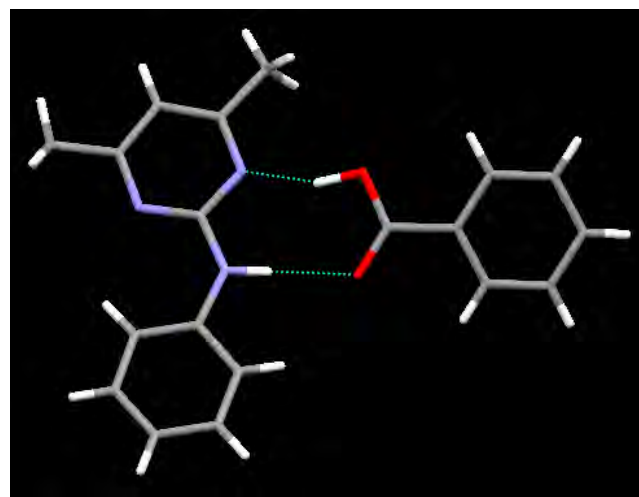
Cocrystal formation



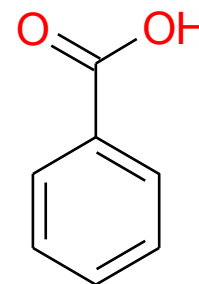
4-Cl Benzoic acid



$pka = 3.97$

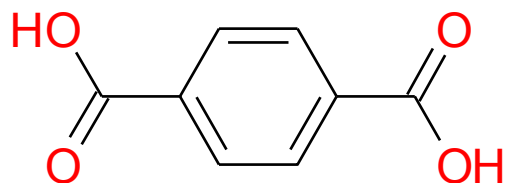
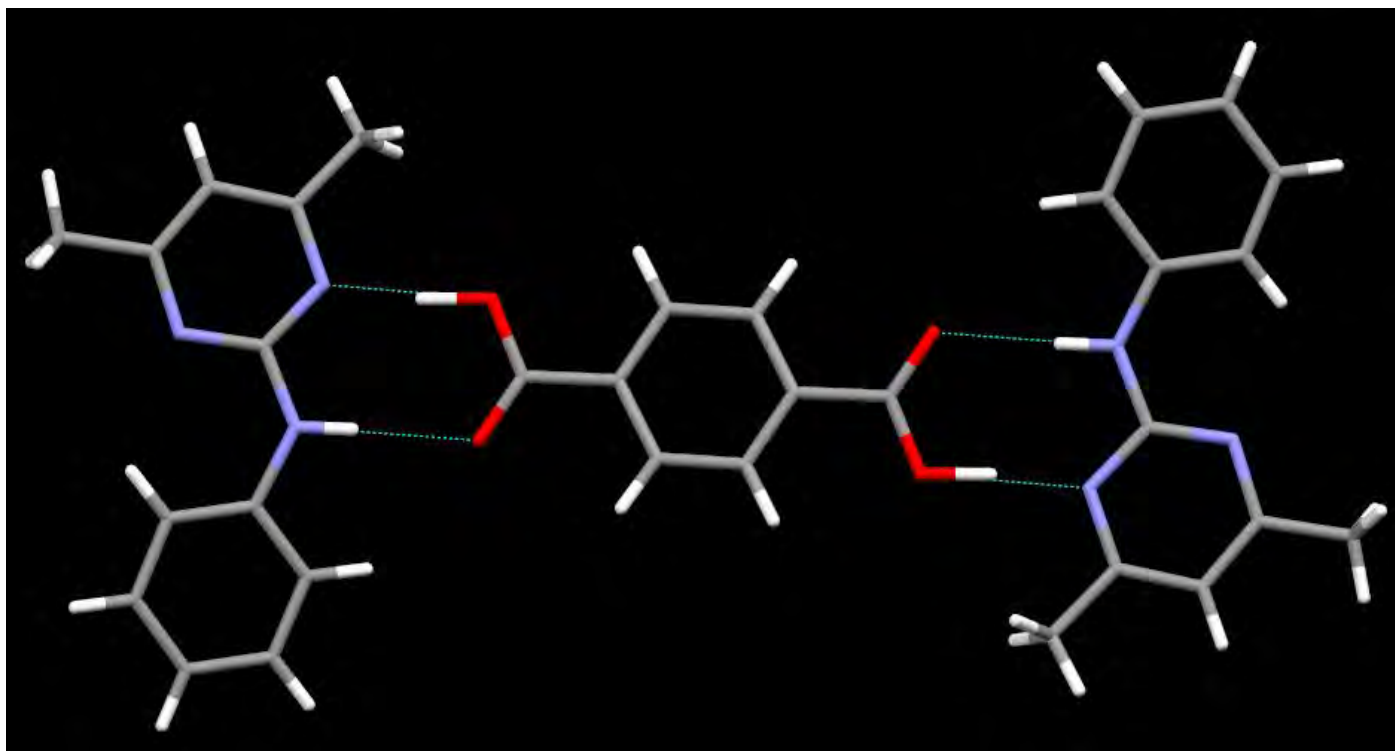


Benzoic acid



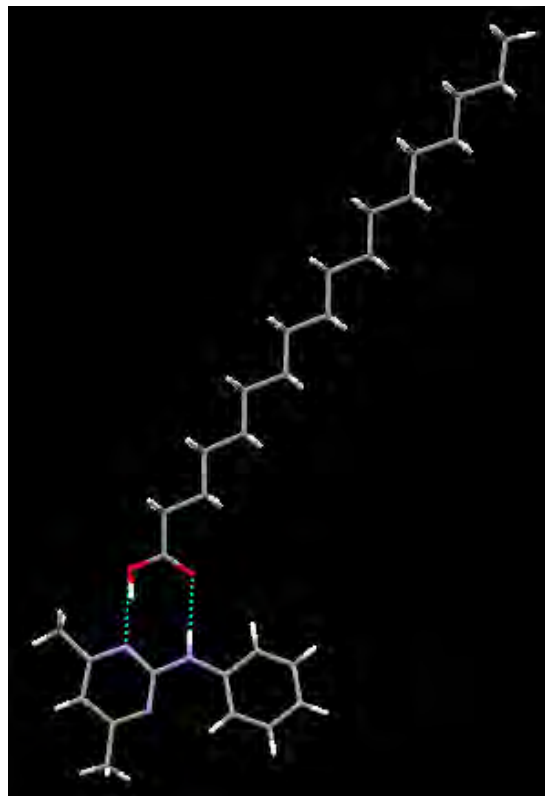
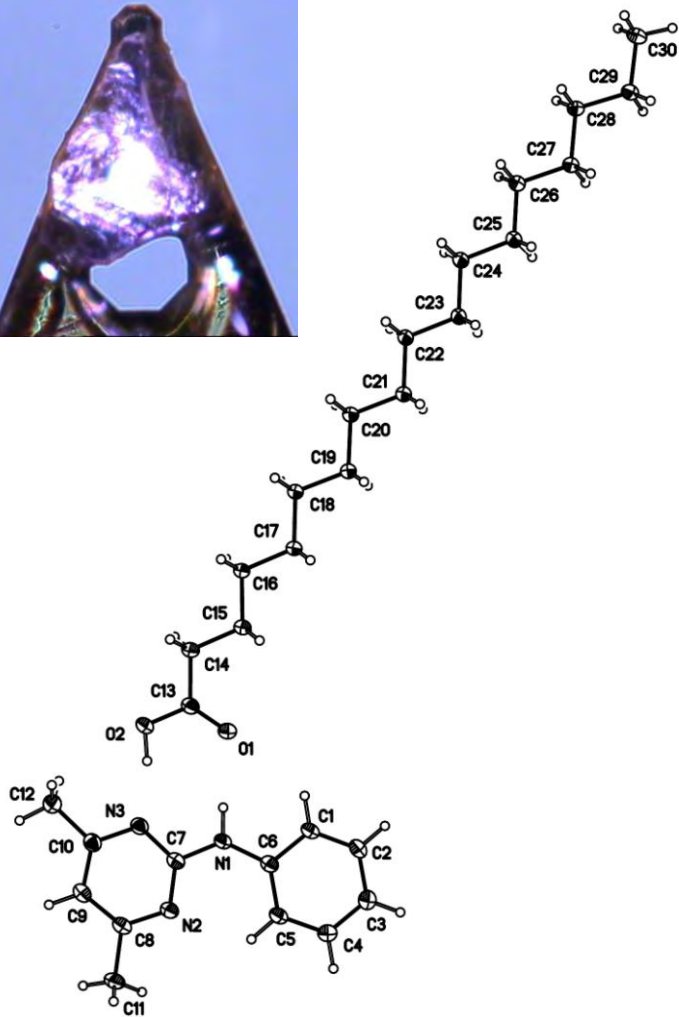
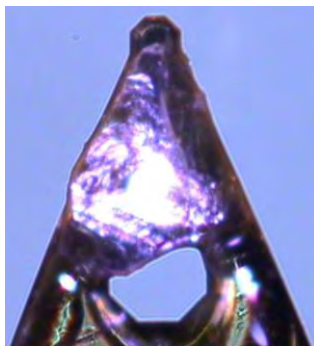
$pka = 4.21$

Cocrystal formation: 2:1 complexes



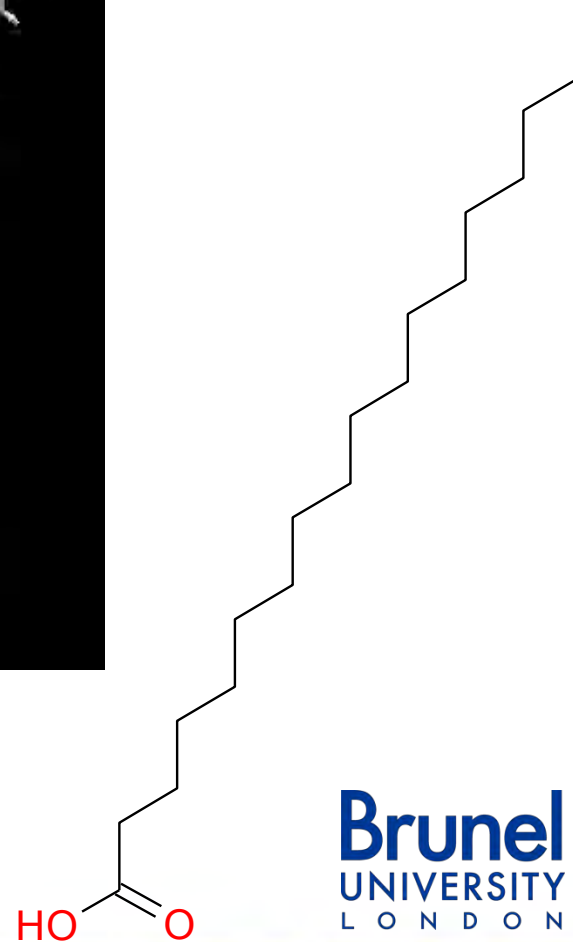
1,4-benzene dicarboxylic acid, $pK_a = 4.82$

Cocrystal formation

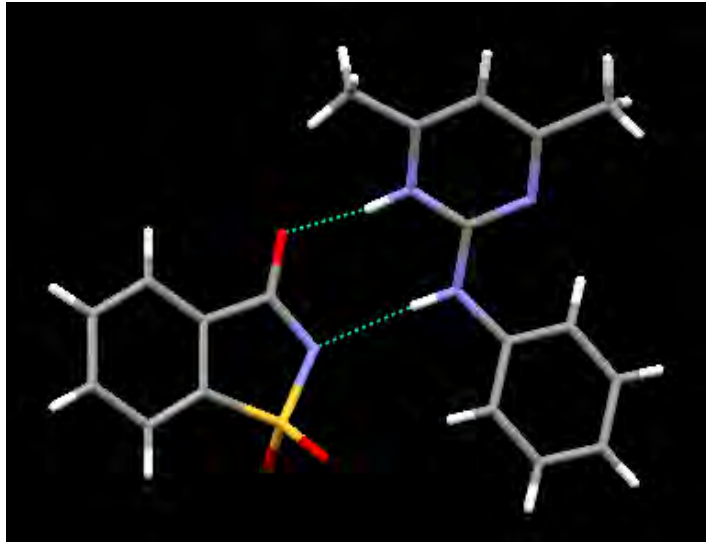


Stearic acid

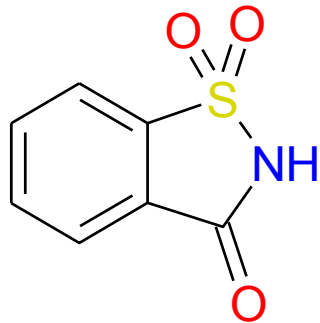
$pK_a = 4.90$



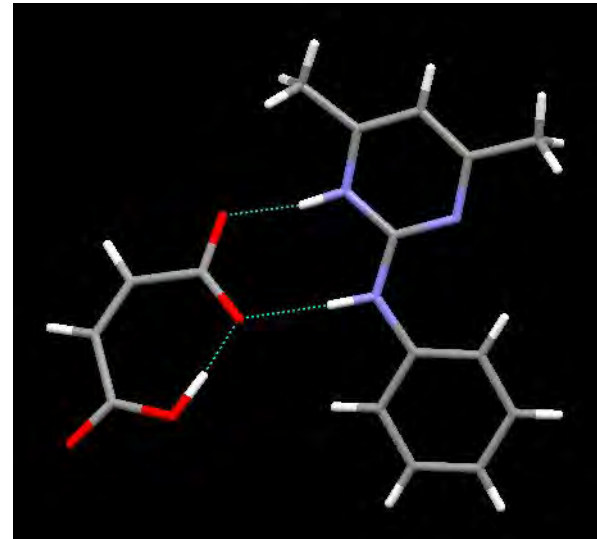
Salt formation



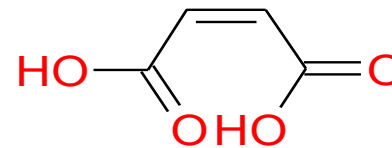
Saccharin



$pka = 2.10$

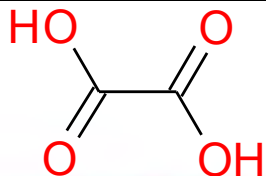
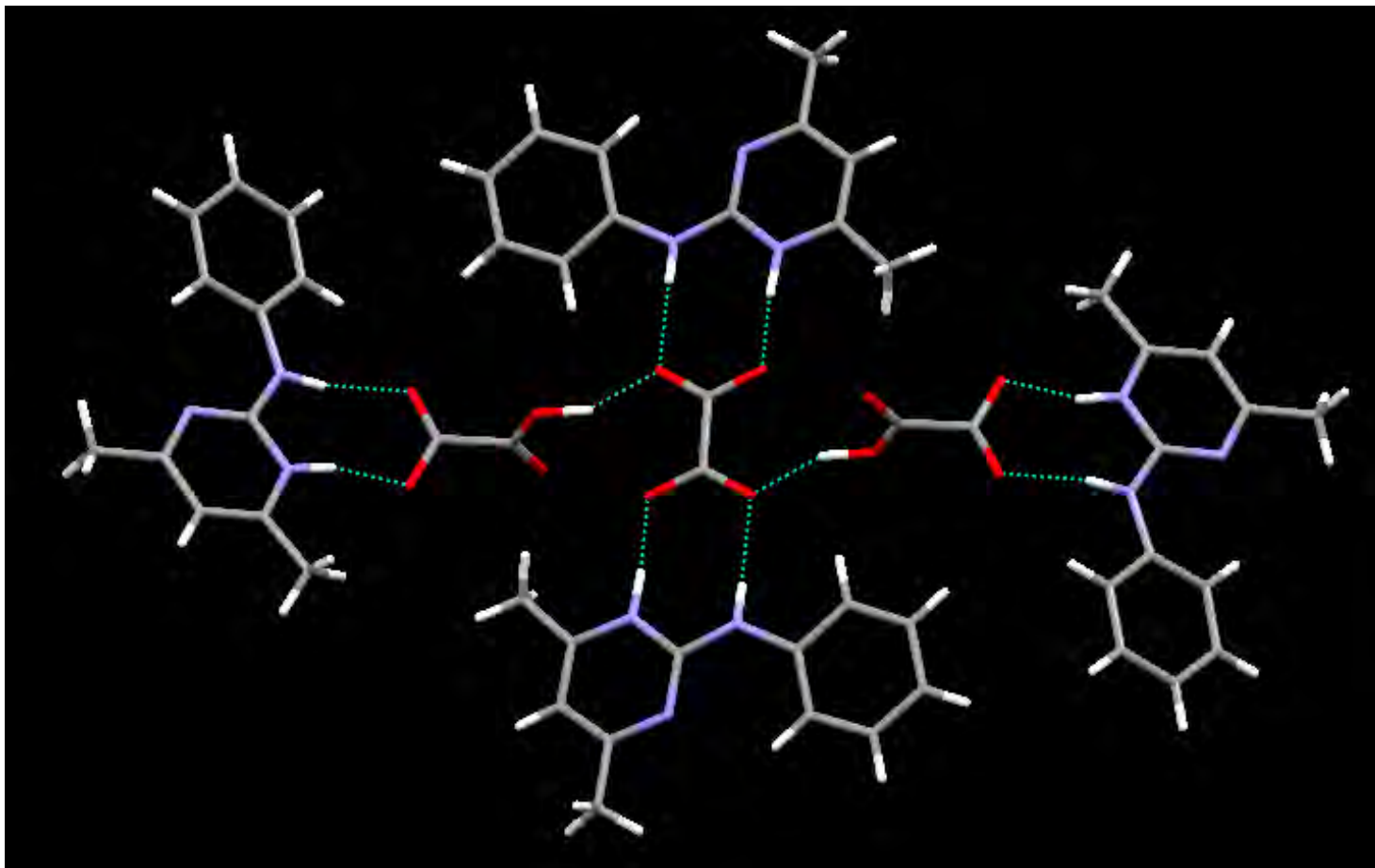


Maleic acid



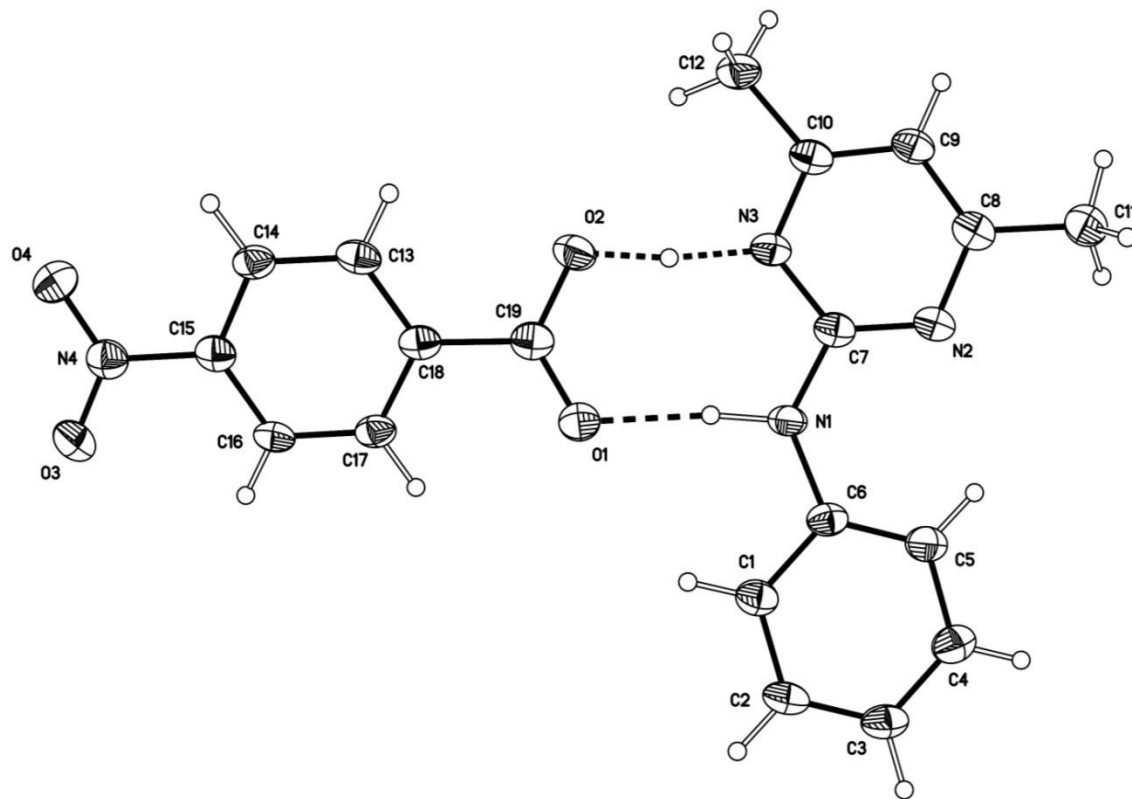
$pka = 1.92$

Salt formation

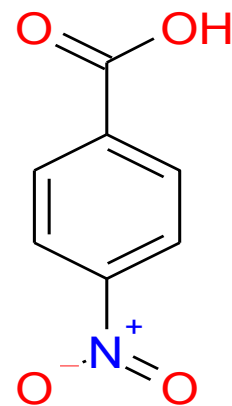


Oxalic acid, $pK_a = 1.27$

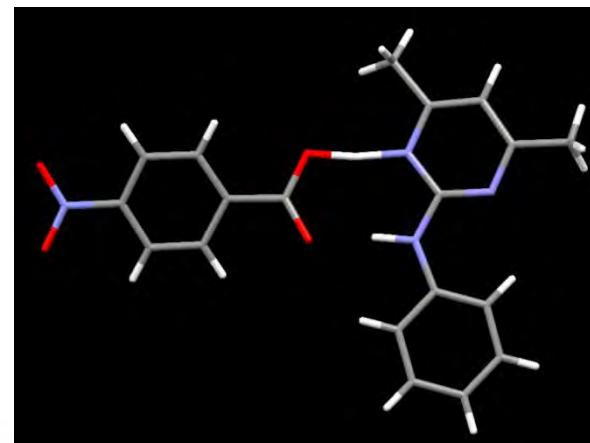
Control of salt formation: Intermediate



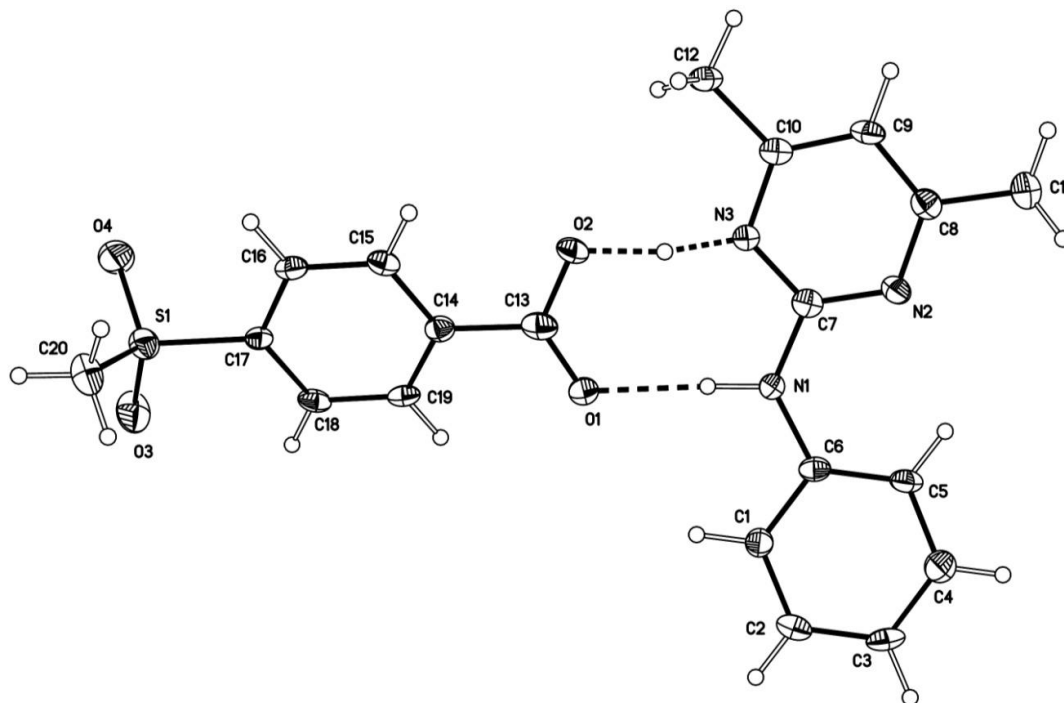
4-NO₂ Benzoic acid



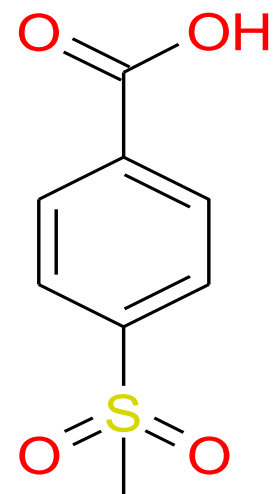
*p*K_a = 3.44



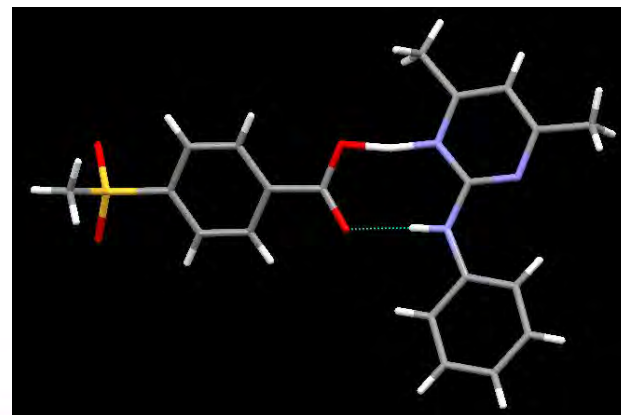
Control of salt formation: Intermediate



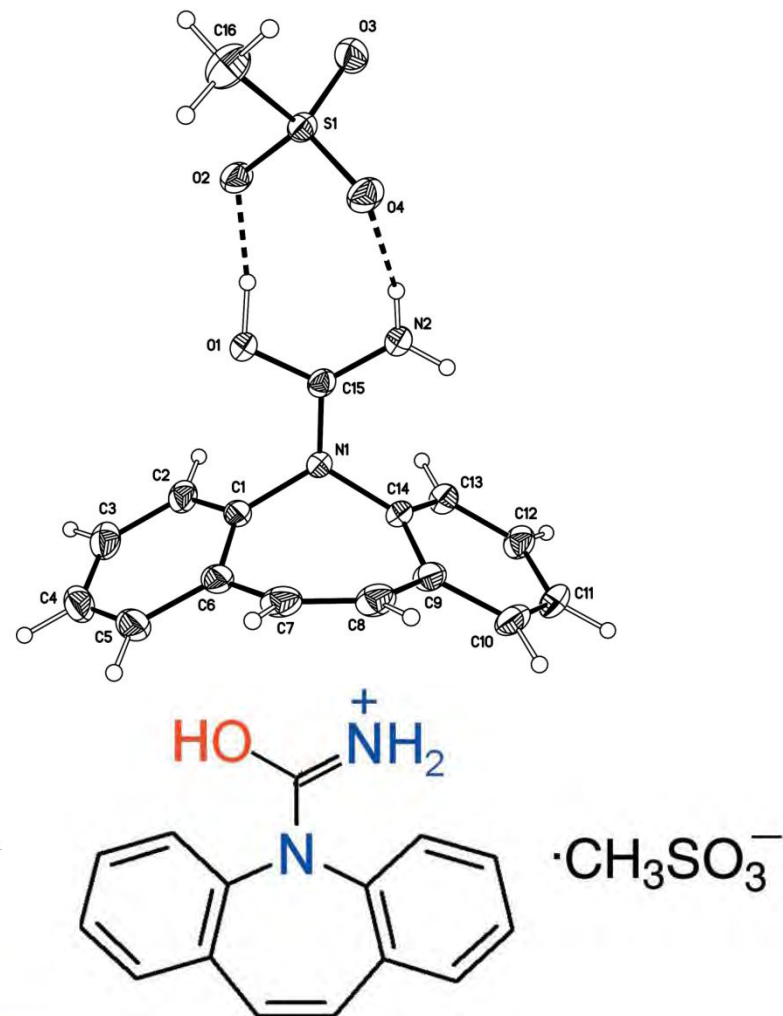
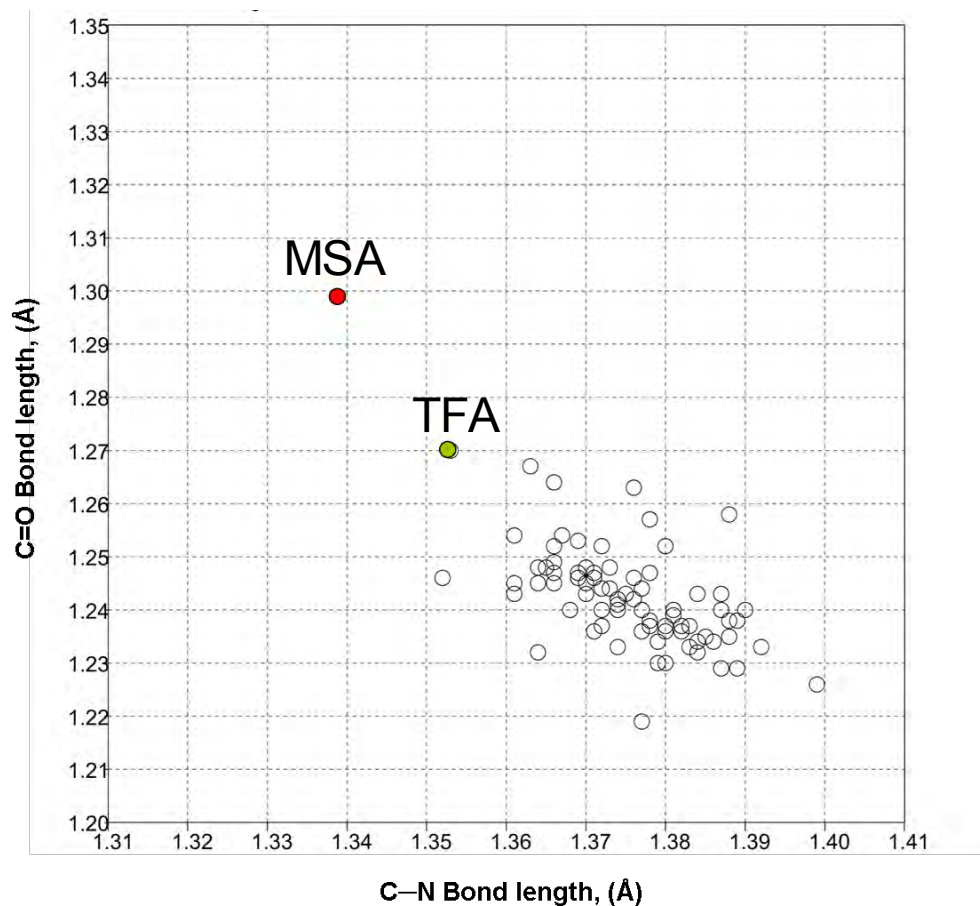
4-MeSO₂ Benzoic acid



$pK_a = 3.42$



Carbamazepine Complexes with Methanesulfonic acid and trifluoroacetic acid



Carbamazepine and trifluoroacetic acid

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Part 11

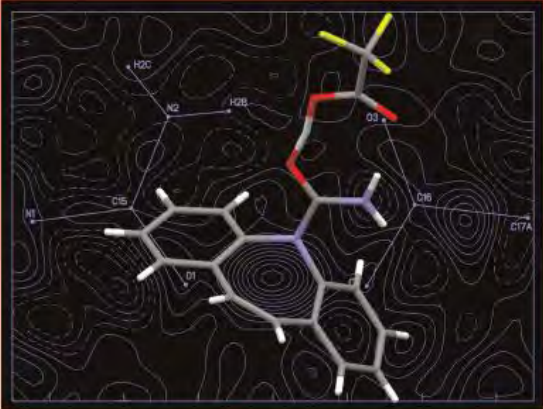
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**Crystal Structure
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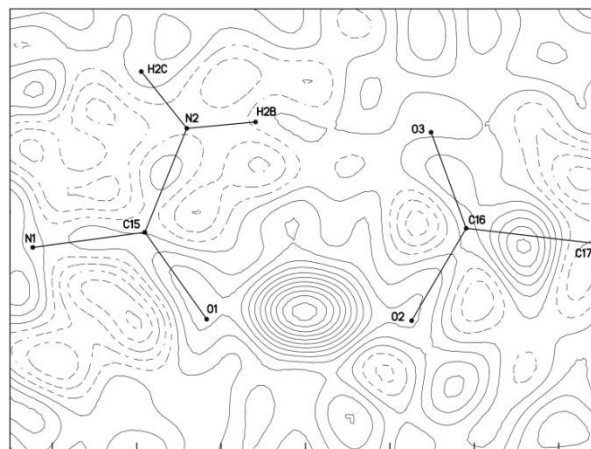
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Methanesulfonic acid salt forms of carbamazepine and 10,11-dihydro- carbamazepine

Alex R. Eberlin,^a Mark D. Eddleston^b and Christopher S.
Frampton^{a*}

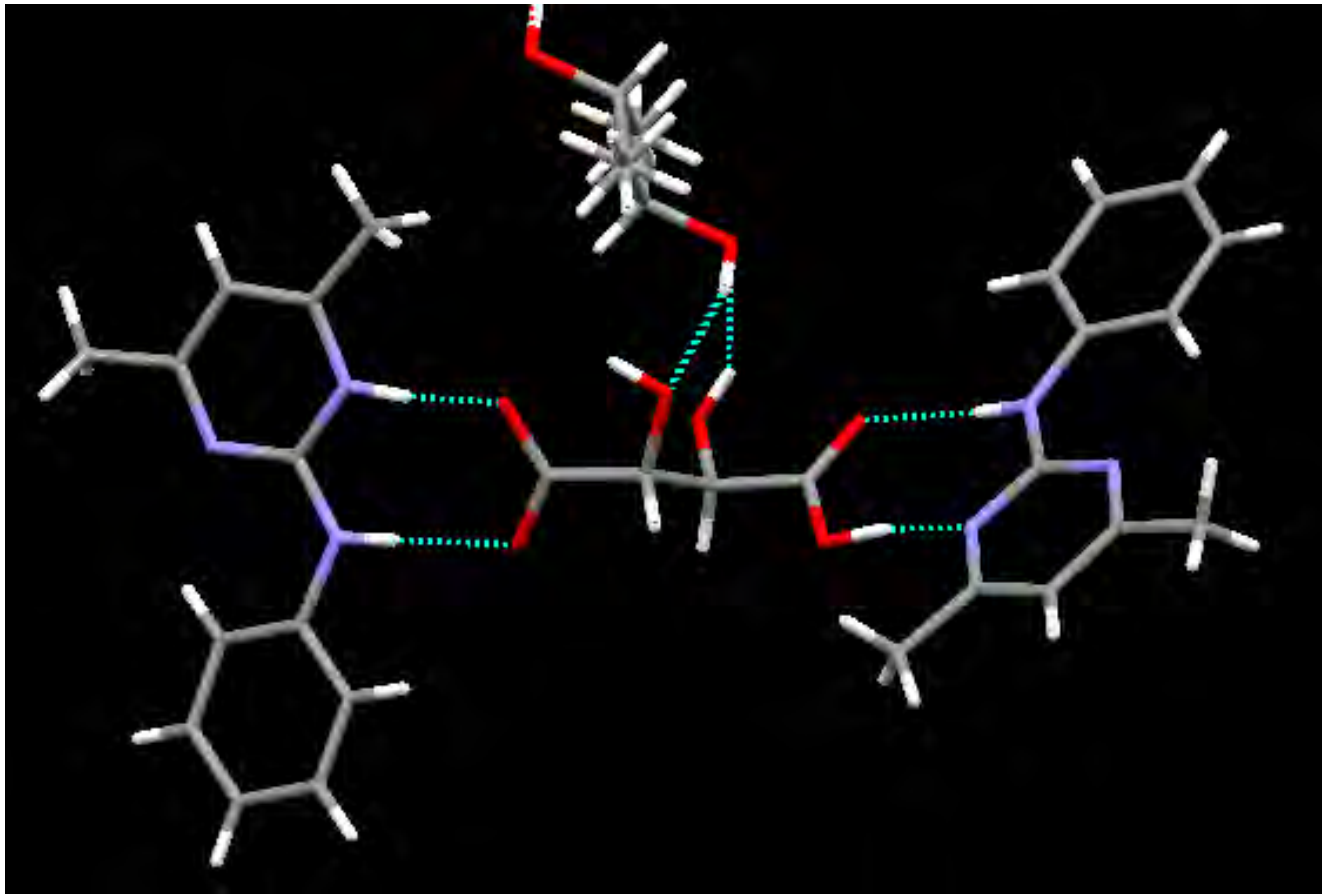
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Accepted 17 October 2013



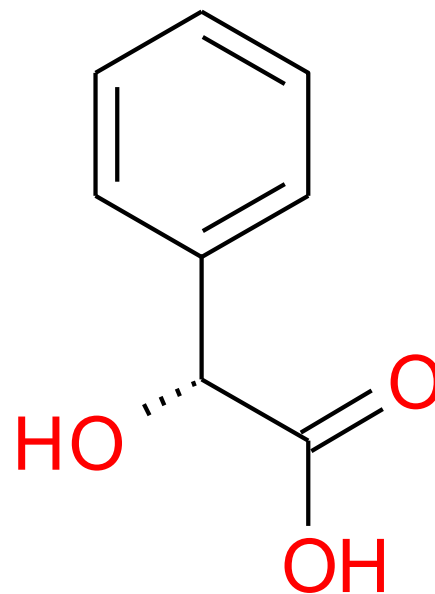
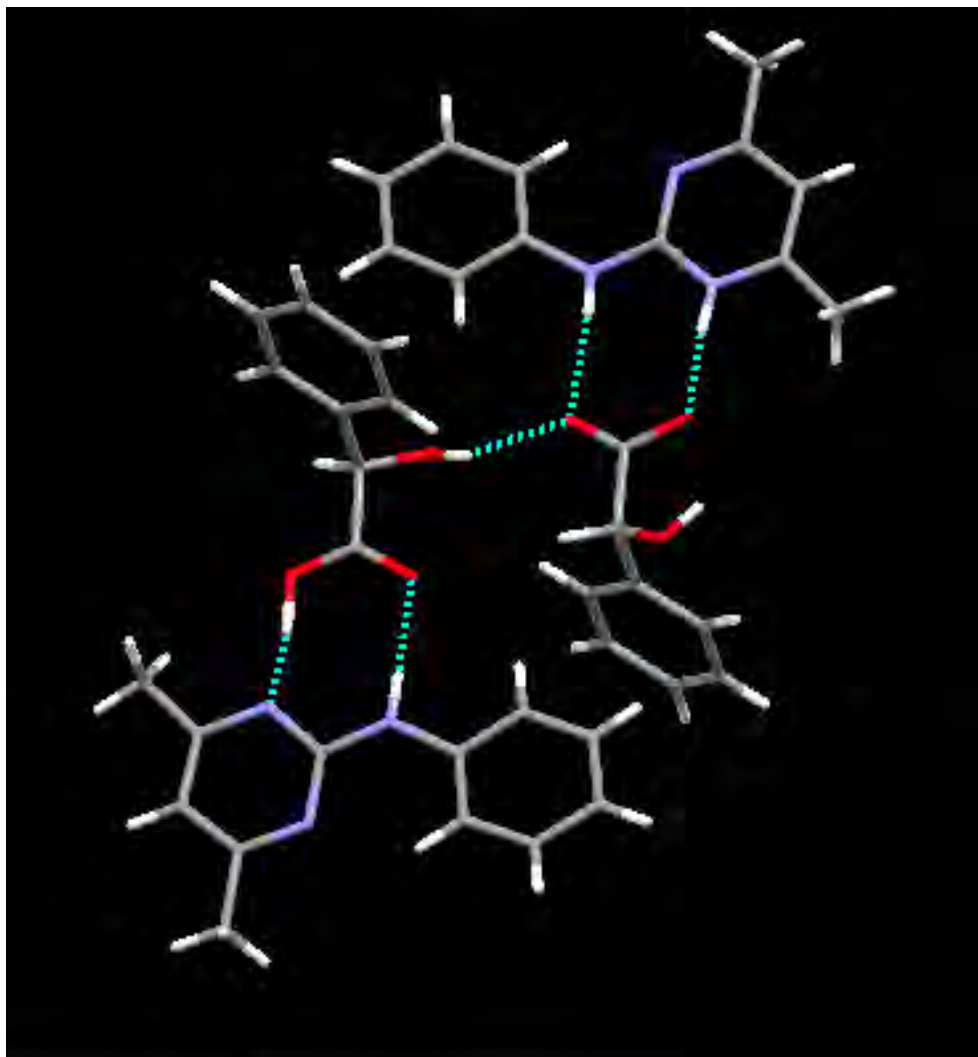
Brunel
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Salt/Cocystal DL-Tartaric acid, 0.5IPA



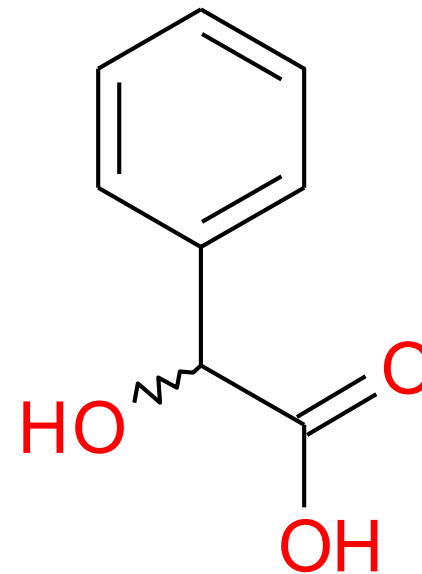
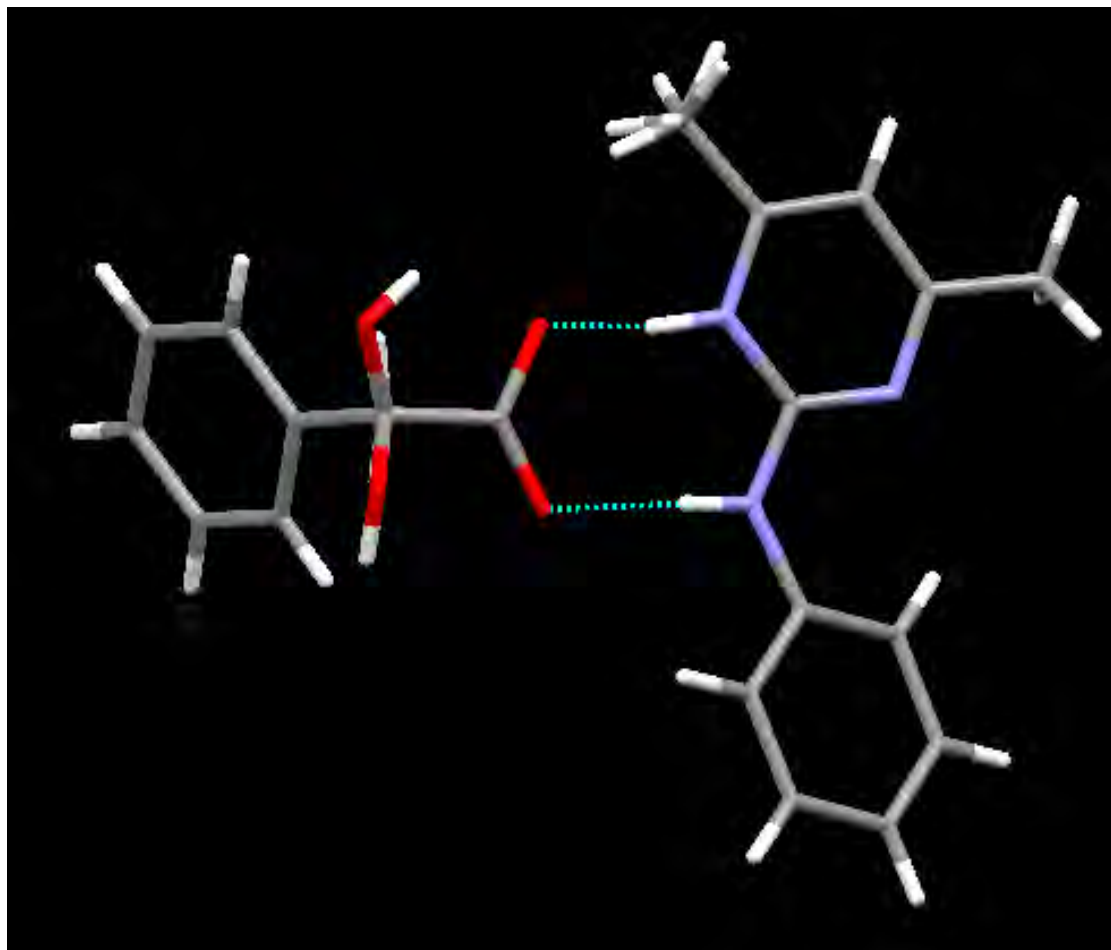
$pK_a = 2.94/4.24$

Salt and Cocrystal *R*-Mandelic acid



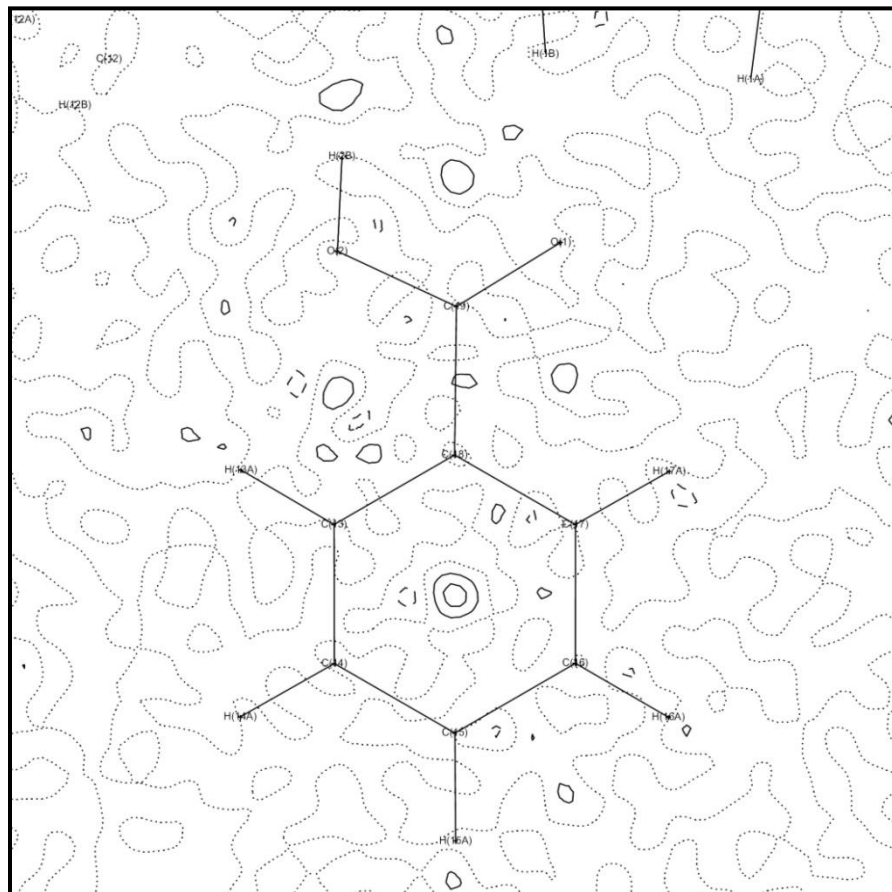
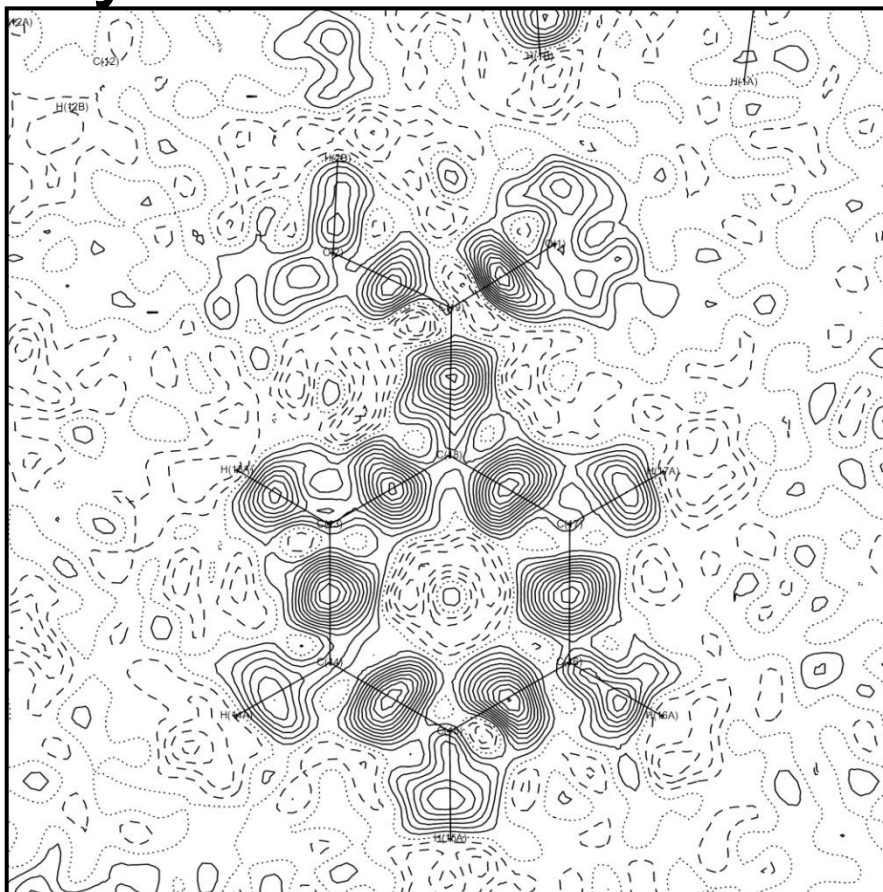
$pK_a = 3.41$

Salt *R,S*-Mandelic acid



$pK_a = 3.41$

Pyrimethanil Benzoic acid



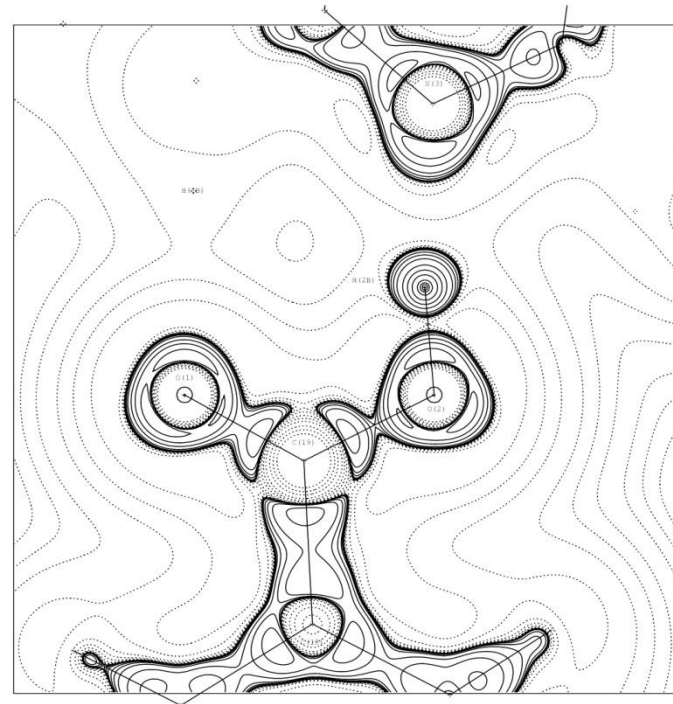
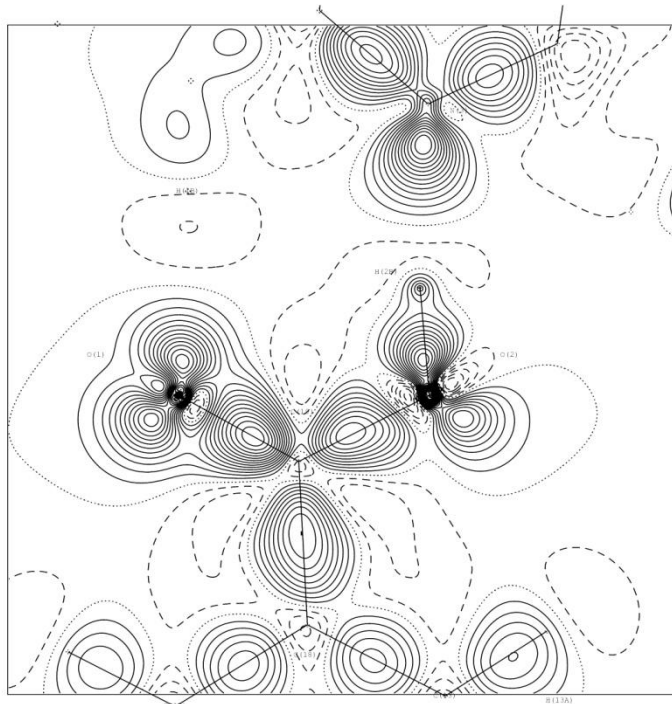
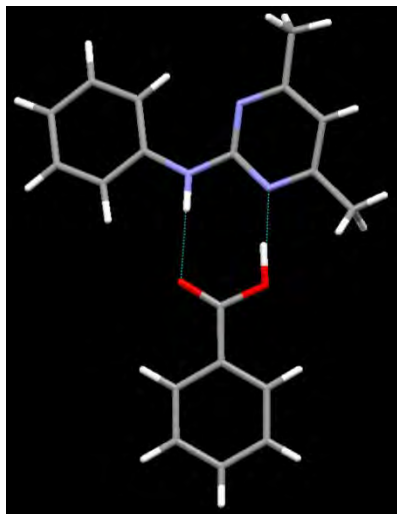
After spherical atom refinement

After multipole atom refinement

Contour levels $0.05 \text{ e}\text{\AA}^{-3}$

Charge density study

Form II Pyrimethanil:Benzoic acid, $P2_1/n$

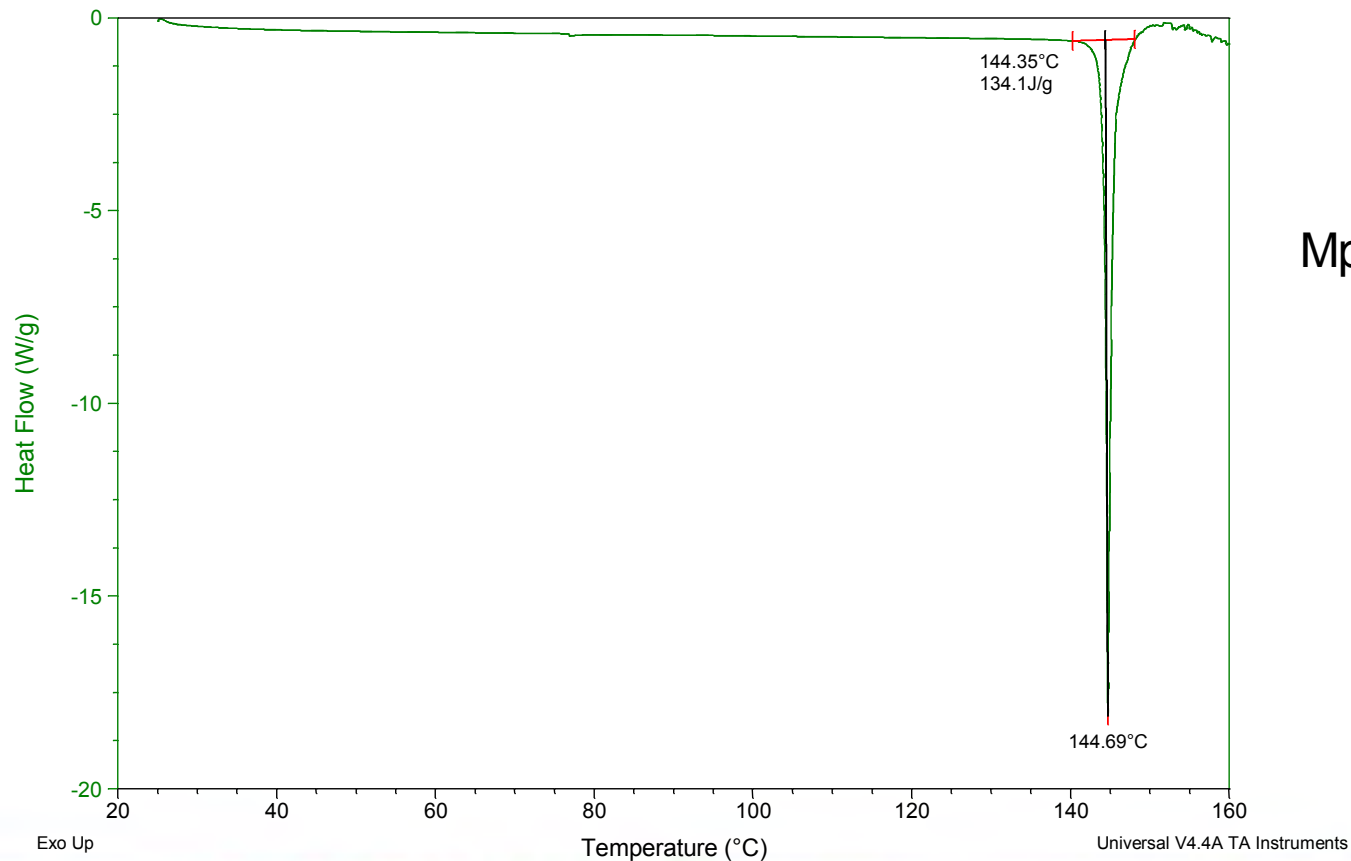


Change in physical properties Pyrimethanil Maleic acid salt

Sample: AE-561-29-1
Size: 0.9290 mg
Method: 25°C to 200°C @ 10°C per min

DSC

File: L:\TA Data\DSC\D03116.001
Operator: AE
Run Date: 14-Feb-2011 14:48
Instrument: DSC Q2000 V24.4 Build 116

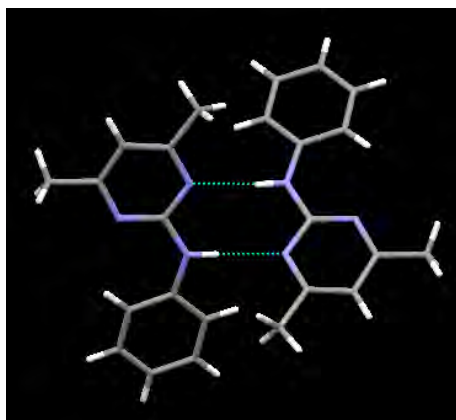


Mpt. 144.7 ° C

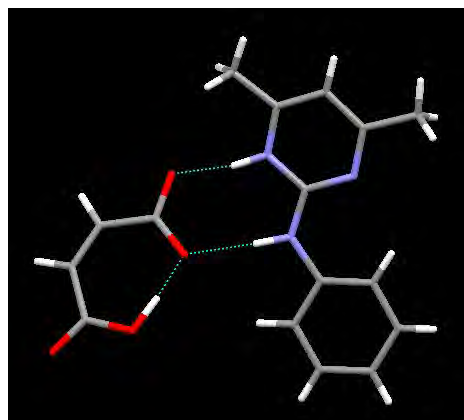
Cocrystal forms...prediction of property

Differing physical properties, melting point

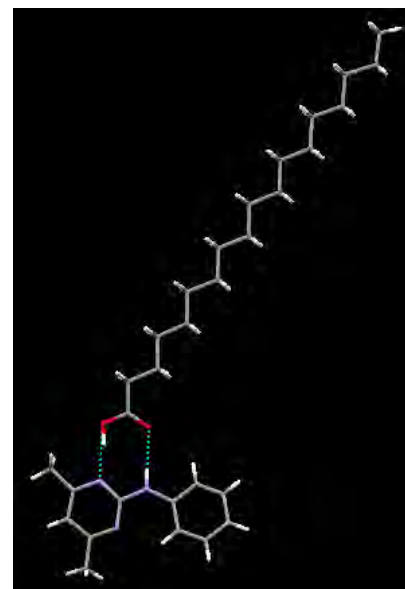
96° C



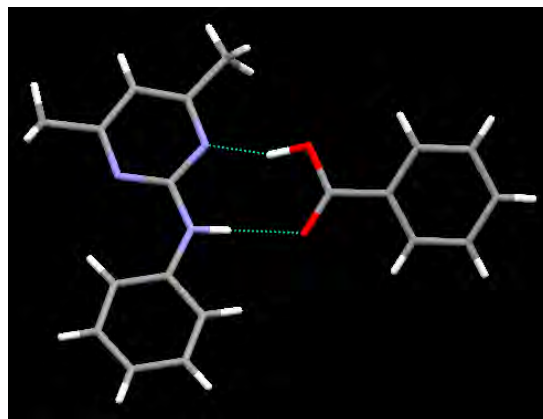
145° C 131° C



55° C 70° C

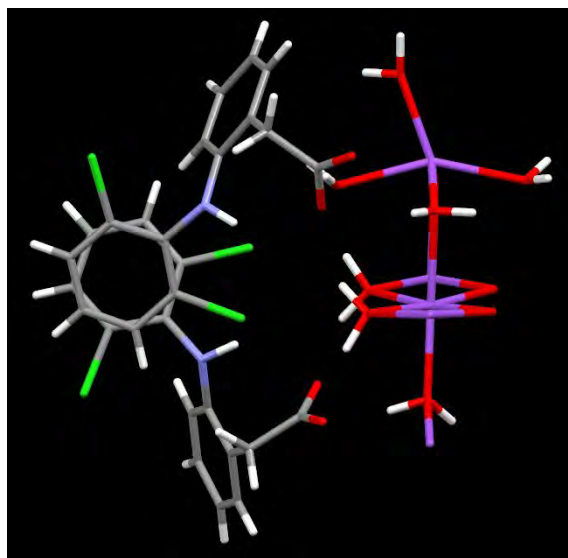
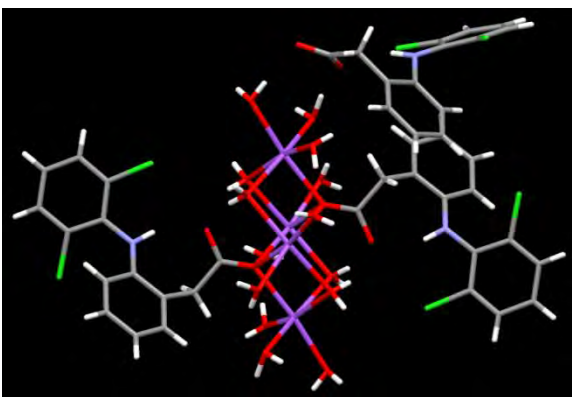
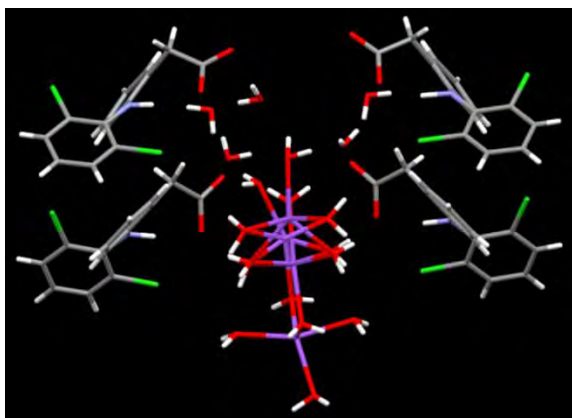


129° C 122° C



Cocrystals to control hygroscopicity

- Cocrystals have the potential to control problems with hygroscopic sodium/potassium salts by the stepwise replacement of water molecules by poly-alcohols, and glycols
- Na Diclofenac forms 3 badly behaved hydrates, 4.75, 3.50(I) and 3.50(II)

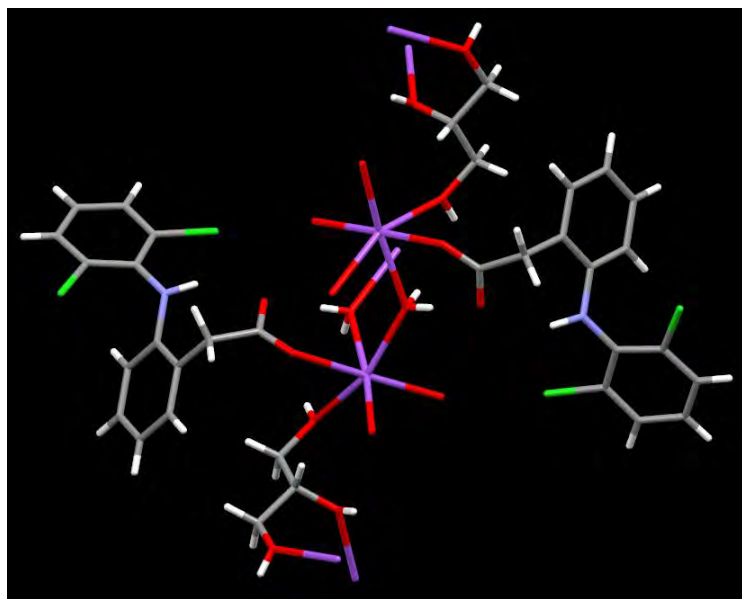


4.75 Structure is based on 4 moles of Na Diclofenac and 19 moles of water in the crystalline asymmetric unit

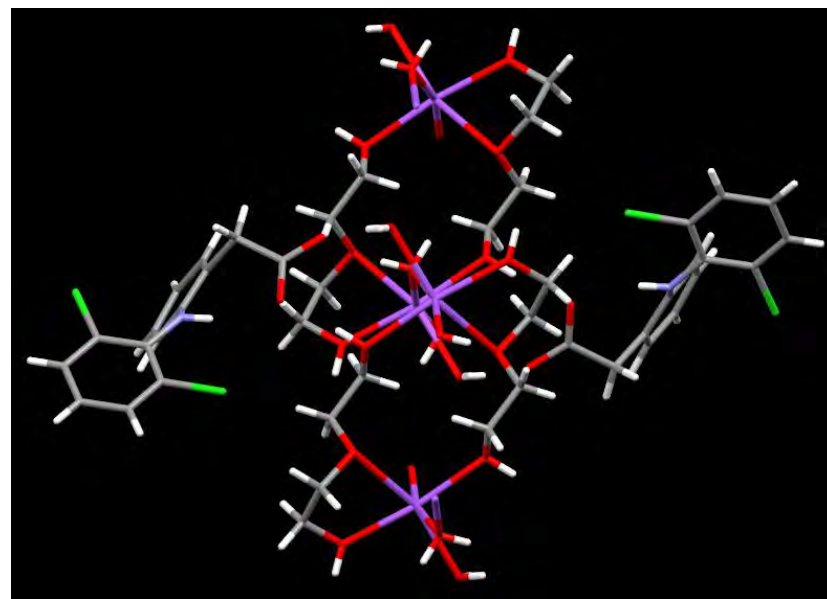
3.50 Structures are based on 2 moles of Na Diclofenac and 19 moles of water in the crystalline asymmetric unit

Cocrystals to control hygroscopicity

With the aim of eliminating water totally or at least to obtain a stable hygroscopicity profile

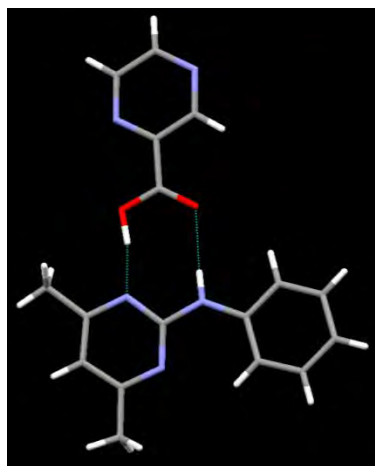
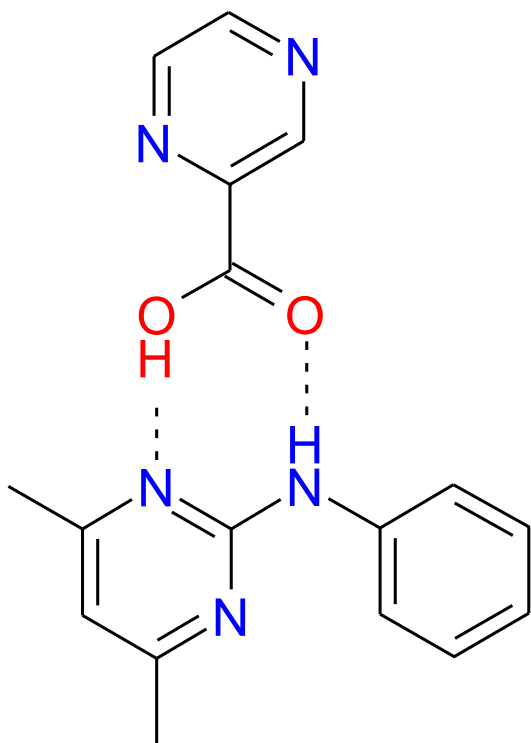


1:1:1 Na Diclofenac Glycerol hydrate



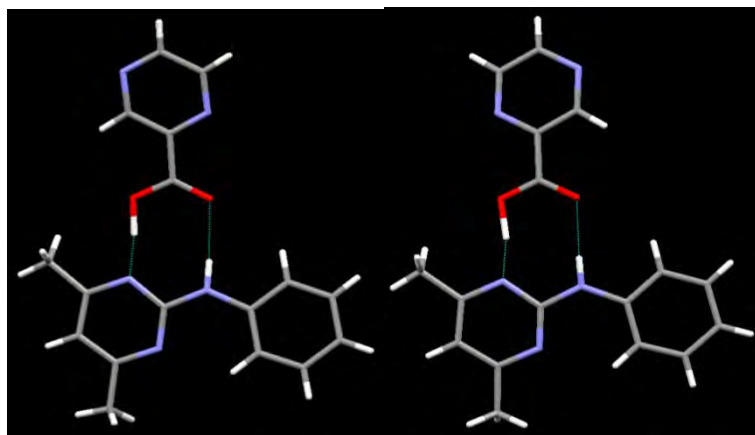
1:1:1.33 Na Diclofenac Diethylene glycol hydrate

1:1 Pyrimethanil:Pyrazine carboxylic acid Conformational polymorphism



Monoclinic $P2_1/c$, $Z' = 1$

Form 1, $\rho = 1.413\text{g cm}^{-3}$



Triclinic $P-1$, $Z' = 2$

Form 2, $\rho = 1.394\text{g cm}^{-3}$

FDA Guidance Released April 2013

Guidance for Industry

**Regulatory Classification of
Pharmaceutical Co-Crystals**

Full Guidance for Industry
released April 2013

U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)
April 2013
CMC

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Key Points from the FDA Guidance

Importance of specification

For NDAs and ANDAs containing or claiming to contain a co-crystal form, you should submit appropriate data that support the following:

- A conclusion that the component API with the excipient compounds in the co-crystal exist in their neutral states and interact via nonionic (versus ionic) interactions. You should consider the following to guide your decision:
 - Generally speaking, if the API and its excipient(s) have a ΔpK_a (pK_a (base) - pK_a (acid)) ≥ 1 , there will be substantial proton transfer resulting in ionization and formation of a salt as opposed to a co-crystal. On the other hand, if the API and its excipient(s) have a ΔpK_a (pK_a (base) - pK_a (acid)) < 1 , there will be less than substantial proton transfer. If this criterion is met, the active ingredient-excipient complex should be classified as a co-crystal.
 - If, however, you believe that the classification of the pharmaceutical solid as a salt or co-crystal is not predicated on these relative pK_a values, then spectroscopic tools using various orthogonal approaches should be used to prove otherwise.
- Assurance that complete dissociation of the API from its excipient occurs prior to reaching the site of action for pharmacological activity.⁸

Acknowledgements

- Alex Eberlin
 - Andy Carr
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